

N. 2576

DISEGNO DI LEGGE

d'iniziativa dei senatori DE PIETRO, BENCINI, BIGNAMI, FUCKSIA, MOLINARI, MUSSINI, ORELLANA, SIMEONI e VACCIANO

COMUNICATO ALLA PRESIDENZA IL 12 LUGLIO 2016

Ratifica ed esecuzione della Convenzione internazionale dell'Organizzazione marittima internazionale per un riciclaggio delle navi sicuro e compatibile con l'ambiente, fatta a Hong Kong il 15 maggio 2009

INDICE

Relazione	Pag.	3
Disegno di legge	»	6
Testo della Convenzione in lingua ufficiale	>>>	7

Onorevoli Senatori. – Il presente disegno di legge ha ad oggetto l'autorizzazione alla ratifica e l'ordine di esecuzione della convenzione internazionale dell'Organizzazione marittima internazionale per un riciclaggio delle navi sicuro e compatibile con l'ambiente, fatta a Hong Kong il 15 maggio 2009 (di seguito denominata «la Convenzione»).

Come denunciato a livello internazionale, negli ultimi decenni, si sono purtroppo consolidate cattive prassi in relazione allo smantellamento e al riciclaggio dei materiali di costruzione delle navi a fine vita. Questa tipologia di condotta avviene prevalentemente in siti gestiti illegalmente e nella più completa indifferenza a norme elementari di sicurezza e salvaguardia della salute umana ed ambientale.

Nell'intento di arginare queste cattive pratiche, si è dato vita al processo che ha portato alla stesura della Convenzione che contiene una serie di importanti disposizioni relative ai criteri e alle procedure da adottare per la costruzione, la demolizione e il riciclaggio ecocompatibile in sicurezza delle navi.

Un aspetto degno della massima rilevanza è che la Convenzione entrerà in vigore solamente ventiquattro mesi dopo la data di ratifica da parte di almeno quindici Stati, la cui flotta mercantile combinata rappresenta almeno il 40 per cento della stazza lorda della flotta mercantile mondiale e il cui volume annuo massimo combinato di riciclaggio delle navi nei dieci anni precedenti rappresenta almeno il 3 per cento della stazza lorda della flotta mercantile combinata di detti Stati.

Al momento in cui si scrive, solamente Belgio, Congo, Francia e Norvegia hanno completato l'*iter* previsto, depositando i rispettivi strumenti di ratifica o adesione (ultimo aggiornamento disponibile da parte dell'Organizzazione marittima internazionale al 13 giugno 2016).

Il 2 agosto del 2010 l'Italia ha firmato la Convenzione senza poi procedere alla sua ratifica.

I tempi di attuazione della Convenzione si presentano quindi molto lunghi: secondo alcune previsioni, la Convenzione non entrerà in vigore prima del 2020.

Tale circostanza desta inquietudine vista la rilevanza della disciplina in esame.

Come evidenziato dalla comunicazione della Commissione europea al Consiglio del 12 marzo 2010 (COM(2010)88), considerato il suo contenuto, la Convenzione rappresenta un importante successo della comunità internazionale. La Convenzione infatti propone un approccio che segue il principio «dalla culla alla tomba», cioè un sistema di controllo e di applicazione che va dall'inizio alla fine del ciclo di vita delle navi: dalla progettazione al riciclaggio, passando attraverso la costruzione e la fase operativa.

La Convenzione stabilisce, a livello globale, i requisiti obbligatori da rispettare per garantire un riciclaggio delle navi sicuro e compatibile con l'ambiente, da realizzare in maniera efficiente ed efficace.

Per migliorare le norme che disciplinano l'industria del riciclaggio delle navi, la Convenzione si basa, in particolare, sul controllo e sulla certificazione delle navi, sull'autorizzazione degli impianti di riciclaggio e su requisiti specifici quali l'obbligo per gli armatori di stabilire l'inventario dei materiali pe-

ricolosi a bordo delle loro navi, l'obbligo per gli impianti di riciclaggio di definire un piano per il riciclaggio delle navi e l'obbligo per gli Stati di bandiera di effettuare un controllo finale allo scopo di rilasciare un certificato internazionale attestante l'idoneità al riciclaggio della nave.

Un elemento importante è costituito inoltre dalle restrizioni sull'uso di materiali pericolosi nella costruzione navale.

L'articolo 1 sancisce gli obblighi generali. L'articolo 2 elenca le definizioni relative al testo.

L'articolo 3 definisce l'ambito di applicazione.

L'articolo 4 contiene disposizioni relative ai controlli relativi al riciclaggio delle navi.

L'articolo 5 riguarda le visite e le certificazioni delle navi.

L'articolo 6 concerne l'autorizzazione degli impianti destinati al riciclaggio delle navi.

L'articolo 7 disciplina lo scambio di informazioni tra le Parti.

L'articolo 8 definisce i parametri per l'ispezione delle navi.

L'articolo 9 riguarda il rilevamento di potenziali violazioni.

L'articolo 10 concerne le violazioni.

L'articolo 11 contiene disposizioni sul ritardo indebito o fermo delle navi.

L'articolo 12 riguarda il contenuto della comunicazione delle informazioni.

L'articolo 13 contiene disposizioni relative all'assistenza tecnica e alla cooperazione.

L'articolo 14 è relativo alla risoluzione delle controversie.

L'articolo 15 concerne le relazioni con il diritto internazionale e altri accordi internazionali.

L'articolo 16 riguarda la firma, ratifica, accettazione, approvazione ed adesione alla Convenzione.

L'articolo 17 definisce le modalità relative all'entrata in vigore.

L'articolo 18 contiene la disciplina relativa alle procedure attraverso le quali la Convenzione può essere emendata.

L'articolo 19 riguarda la facoltà di denuncia.

L'articolo 20 concerne il depositario.

L'articolo 21 elenca le lingue che costituiscono l'unico originale in cui è redatta la Convenzione.

Il testo nel suo complesso rappresenta uno strumento significativo per una avanzata regolamentazione del settore in esame, alla luce di una accresciuta attenzione nei confronti dell'ambiente.

Si ricorda che tra le parti della Convezione non ha potuto figurare l'Unione europea, ma soltanto i suoi singoli Stati membri.

Tuttavia, nell'intento di dare nuovo impulso al processo di ratifica, di fatto in stallo, a livello europeo sono stati emanati diversi atti.

Già nelle sue conclusioni del 21 ottobre 2009 il Consiglio dell'Unione europea ha incoraggiato gli Stati membri dell'Unione a ratificare in via prioritaria la Convenzione, al fine di facilitarne l'entrata in vigore il prima possibile e produrre un cambiamento tangibile nell'ambito disciplinato.

Si ricorda in particolare che, nel 2013, è stato adottato un importante regolamento relativo al riciclaggio delle navi (Regolamento (CE) n. 1257/2013 del Parlamento europeo e del Consiglio, del 20 novembre 2013) che mira ad agevolare la rapida ratifica della Convenzione all'interno dell'Unione e nei Paesi terzi, applicando controlli proporzionati alle navi e agli impianti di riciclaggio delle navi sulla base della convenzione stessa.

Concretamente, il regolamento, ove applicabile, esenterà le navi dell'Unione europea dall'ambito di applicazione del regolamento (CE) n. 1013/2006 del Parlamento europeo e del Consiglio, del 14 giugno 2006, relativo alle spedizioni di rifiuti. Di conseguenza, legalizzerà il riciclaggio delle navi dell'Unione europea in paesi non appartenenti al-

l'OCSE, a condizione che il riciclaggio avvenga in impianti inseriti in un elenco europeo. Per essere inseriti nell'elenco europeo, gli impianti in questione devono rispettare norme rigorose. Il tutto in linea con quanto stabilito dalla Convenzione.

Successivamente, il Consiglio dell'Unione europea ha nuovamente incoraggiato gli Stati membri a ratificare la Convenzione attraverso una sua decisione (decisione n. 2014/241/UE del 14 aprile 2014).

Gli Stati membri risultano quindi ufficialmente autorizzati a ratificare o ad aderire, per le parti di esclusiva competenza dell'Unione europea, alla Convenzione.

Inoltre, come stabilito ai sensi della menzionata decisione, il Consiglio dell'Unione europea esaminerà lo stato di avanzamento della procedura di ratifica della Convenzione da parte degli Stati membri, entro il 31 dicembre 2018.

Lo Stato italiano infatti, al pari di molti altri purtroppo, non ha ancora proceduto alla ratifica.

Alla luce di quanto illustrato, risulta doveroso da parte dell'Italia procedere speditamente a perfezionare il processo di ratifica della Convenzione, al fine di recepire nel nostro ordinamento questo importante strumento di diritto internazionale.

DISEGNO DI LEGGE

Art. 1.

(Autorizzazione alla ratifica)

1. Il Presidente della Repubblica è autorizzato a ratificare la Convenzione internazionale dell'Organizzazione marittima internazionale per un riciclaggio delle navi sicuro e compatibile con l'ambiente, fatta a Hong Kong il 15 maggio 2009.

Art. 2.

(Ordine di esecuzione)

1. Piena ed intera esecuzione è data alla convenzione di cui all'articolo 1, a decorrere dalla data della sua entrata in vigore ai sensi dell'articolo 17 della medesima Convenzione.

Art. 3.

(Entrata in vigore)

1. La presente legge entra in vigore il giorno successivo a quello della sua pubblicazione nella *Gazzetta Ufficiale*.

اتفاقية هونغ كونغ الدولية لتفكيك السفن والتصرق بمكوناتها بمكوناتها بقمكل آمن وسليم بيئيًا لعام 2009
2009年香港国际安全与无害环境拆船公约
HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009
CONVENTION INTERNATIONALE DE HONG KONG POUR LE RECYCLAGE SÛR ET ECOLOGIQUEMENT RATIONNEL DES NAVIRES, 2009
ГОНКОНГСКАЯ МЕЖДУНАРОДНАЯ КОНВЕНЦИЯ О БЕЗОПАСНОЙ И ЭКОЛОГИЧЕСКИ РАЦИОНАЛЬНОЙ УТИЛИЗАЦИИ СУДОВ 2009 ГОДА

CONVENIO INTERNACIONAL DE HONG KONG PARA EL RECICLAJE SEGURO Y AMBIENTALMENTE RACIONAL DE LOS BUQUES, 2009

HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009

THE PARTIES TO THIS CONVENTION,

NOTING the growing concerns about safety, health, the environment and welfare matters in the ship recycling industry,

RECOGNIZING that recycling of ships contributes to sustainable development and, as such, is the best option for ships that have reached the end of their operating life,

RECALLING resolution A.962(23), adopted by the Assembly of the International Maritime Organization (Guidelines on Ship Recycling); amendments to the Guidelines adopted by resolution A.980(24); Decision VI/24 of the Sixth Meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal, which adopted Technical Guidelines for the Environmentally Sound Management of the Full and Partial Dismantling of Ships; and the Guidelines approved by the 289th session of the Governing Body of the International Labour Office (Safety and Health in Shipbreaking: Guidelines for Asian countries and Turkey),

RECALLING ALSO resolution A.981(24), by which the Assembly of the International Maritime Organization requested the Organization's Marine Environment Protection Committee to develop a legally-binding instrument on ship recycling,

NOTING ALSO the role of the International Labour Organization in protecting the occupational safety and health of workers involved in ship recycling.

NOTING FURTHER the role of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal in protecting human health and the environment against the adverse effects which may result from such wastes,

MINDFUL of the precautionary approach set out in Principle 15 of the Rio Declaration on Environment and Development and referred to in resolution MEPC.67(37), adopted by the Organization's Marine Environment Protection Committee on 15 September 1995,

MINDFUL ALSO of the need to promote the substitution of hazardous materials in the construction and maintenance of ships by less hazardous, or preferably, non-hazardous materials, without compromising the ships' safety, the safety and health of seafarers and the ships' operational efficiency,

RESOLVED to effectively address, in a legally-binding instrument, the environmental, occupational health and safety risks related to ship recycling, taking into account the particular characteristics of maritime transport and the need to secure the smooth withdrawal of ships that have reached the end of their operating lives,

CONSIDERING that these objectives may best be achieved by the conclusion of an International Convention for the Safe and Environmentally Sound Recycling of Ships,

HAVE AGREED as follows:

ARTICLE 1 General obligations

- Each Party to this Convention undertakes to give full and complete effect to its provisions in order to prevent, reduce, minimize and, to the extent practicable, eliminate accidents, injuries and other adverse effects on human health and the environment caused by Ship Recycling, and enhance ship safety, protection of human health and the environment throughout a ship's operating life.
- No provision of this Convention shall be interpreted as preventing a Party from taking individually or jointly, more stringent measures consistent with international law, with respect to the safe and environmentally sound recycling of ships, in order to prevent, reduce or minimize any adverse effects on human health and the environment.
- Parties shall endeavour to co-operate for the purpose of effective implementation of compliance with and enforcement of this Convention.
- The Parties undertake to encourage the continued development of technologies and practices which contribute to safe and environmentally sound Ship Recycling.
- The Annex to this Convention forms an integral part of it. Unless expressly provided for otherwise, a reference to this Convention constitutes at the same time a reference to its Annex.

ARTICLE 2 Definitions

For the purposes of this Convention, unless expressly provided otherwise:

- 1 "Convention" means the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009.
- 2 "Administration" means the Government of the State whose flag the ship is entitled to fly or under whose authority it is operating.
- "Competent Authority(ies)" means a governmental authority or authorities designated by a Party as responsible, within specified geographical area(s) or area(s) of expertise, for duties related to Ship Recycling Facilities operating within the jurisdiction of that Party as specified in this Convention.
- 4 "Organization" means the International Maritime Organization.
- 5 "Secretary-General" means the Secretary-General of the Organization.
- 6 "Committee" means the Marine Environment Protection Committee of the Organization

- 7 "Ship" means a vessel of any type whatsoever operating or having operated in the marine environment and includes submersibles, floating craft, floating platforms, self elevating platforms, Floating Storage Units (FSUs), and Floating Production Storage and Offloading Units (FPSOs), including a vessel stripped of equipment or being towed.
- 8 "Gross tonnage" means the gross tonnage (GT) calculated in accordance with the tonnage measurement regulations contained in Annex I to the International Convention on Tonnage Measurement of Ships, 1969, or any successor convention.
- 9 "Hazardous Material" means any material or substance which is liable to create hazards to human health and/or the environment.
- "Ship Recycling" means the activity of complete or partial dismantling of a ship at a Ship Recycling Facility in order to recover components and materials for reprocessing and re-use, whilst taking care of hazardous and other materials, and includes associated operations such as storage and treatment of components and materials on site, but not their further processing or disposal in separate facilities.
- "Ship Recycling Facility" means a defined area that is a site, yard or facility used for the recycling of ships.
- "Recycling Company" means the owner of the Ship Recycling Facility or any other organization or person who has assumed the responsibility for operation of the Ship Recycling activity from the owner of the Ship Recycling Facility and who on assuming such responsibility has agreed to take over all duties and responsibilities imposed by this Convention.

ARTICLE 3 Application

- Unless expressly provided otherwise in this Convention, this Convention shall apply to:
 - .1 ships entitled to fly the flag of a Party or operating under its authority;
 - .2 Ship Recycling Facilities operating under the jurisdiction of a Party.
- This Convention shall not apply to any warships, naval auxiliary, or other ships owned or operated by a Party and used, for the time being, only on government non-commercial service. However, each Party shall ensure, by the adoption of appropriate measures not impairing operations or operational capabilities of such ships owned or operated by it, that such ships act in a manner consistent with this Convention, so far as is reasonable and practicable.
- 3 This Convention shall not apply to ships of less than 500 GT or to ships operating throughout their life only in waters subject to the sovereignty or jurisdiction of the State whose flag the ship is entitled to fly. However, each Party shall ensure, by the adoption of appropriate measures, that such ships act in a manner consistent with this Convention, so far as is reasonable and practicable.

With respect to ships entitled to fly the flag of non-Parties to this Convention, Parties shall apply the requirements of this Convention as may be necessary to ensure that no more favourable treatment is given to such ships.

ARTICLE 4 Controls related to Ship Recycling

- Each Party shall require that ships entitled to fly its flag or operating under its authority comply with the requirements set forth in this Convention and shall take effective measures to ensure such compliance.
- 2 Each Party shall require that Ship Recycling Facilities under its jurisdiction comply with the requirements set forth in this Convention and shall take effective measures to ensure such compliance.

ARTICLE 5 Survey and certification of ships

Each Party shall ensure that ships flying its flag or operating under its authority and subject to survey and certification are surveyed and certified in accordance with the regulations in the Annex.

ARTICLE 6 Authorization of Ship Recycling Facilities

Each Party shall ensure that Ship Recycling Facilities that operate under its jurisdiction and that recycle ships to which this Convention applies, or ships treated similarly pursuant to Article 3.4 of this Convention, are authorized in accordance with the regulations in the Annex.

ARTICLE 7 Exchange of information

For the Ship Recycling Facilities authorized by a Party, such Party shall provide to the Organization, if requested, and to those Parties which request it, relevant information, in regard to this Convention, on which its decision for authorization was based. The information shall be exchanged in a swift and timely manner.

ARTICLE 8 Inspection of ships

A ship to which this Convention applies may, in any port or offshore terminal of another Party, be subject to inspection by officers duly authorized by that Party for the purpose of determining whether the ship is in compliance with this Convention. Except as provided in paragraph 2, any such inspection is limited to verifying that there is on board either an International Certificate on Inventory of Hazardous Materials or an International Ready for Recycling Certificate, which, if valid, shall be accepted.

À

XVII LEGISLATURA - DISEGNI DI LEGGE E RELAZIONI - DOCUMENTI

- Where a ship does not carry a valid certificate or there are clear grounds for believing that:
 - .1 the condition of the ship or its equipment does not correspond substantially with the particulars of the certificate, and/or Part I of the Inventory of Hazardous Materials; or
 - .2 there is no procedure implemented on board the ship for the maintenance of Part I of the Inventory of Hazardous Materials;

a detailed inspection may be carried out taking into account guidelines developed by the Organization.

ARTICLE 9 Detection of violations

- Parties shall co-operate in the detection of violations and the enforcement of the provisions of this Convention.
- When there is sufficient evidence that a ship is operating, has operated or is about to operate in violation of any provision in this Convention, a Party holding the evidence may request an investigation of this ship when it enters the ports or offshore terminals under the jurisdiction of another Party. The report of such an investigation shall be sent to the Party requesting it, to the Administration of the ship concerned and to the Organization, so that action may be taken as appropriate.
- If the ship is detected to be in violation of this Convention, the Party carrying out the inspection may take steps to warn, detain, dismiss, or exclude the ship from its ports. A Party taking such action shall immediately inform the Administration of the ship concerned and the Organization.
- If a request for an investigation is received from any Party, together with sufficient evidence that a Ship Recycling Facility is operating, has operated or is about to operate in violation of any provision of this Convention, a Party should investigate this Ship Recycling Facility operating under its jurisdiction and make a report. The report of any such investigation shall be sent to the Party requesting it, including information on action taken or to be taken, if any, and to the Organization for appropriate action.

ARTICLE 10 Violations

- Any violation of the requirements of this Convention shall be prohibited by national laws and:
 - .1 in the case of a ship, sanctions shall be established under the law of the Administration, wherever the violation occurs. If the Administration is informed of such a violation by a Party, it shall investigate the matter and may request the reporting Party to furnish additional evidence of the alleged violation. If the Administration is satisfied that sufficient evidence is available to enable proceedings to be brought in respect of the alleged violation, it shall cause such

proceedings to be taken as soon as possible, in accordance with its law. The Administration shall promptly inform the Party that reported the alleged violation, as well as the Organization, of any action taken. If the Administration has not taken any action within one year after receiving the information, it shall inform the Party which reported the alleged violation, and the Organization, of the reasons why no action has been taken;

- in the case of a Ship Recycling Facility, sanctions shall be established under the law of the Party having jurisdiction over the Ship Recycling Facility. If the Party is informed of such a violation by another Party, it shall investigate the matter and may request the reporting Party to furnish additional evidence of the alleged violation. If the Party is satisfied that sufficient evidence is available to enable proceedings to be brought in respect of the alleged violation, it shall cause such proceedings to be taken as soon as possible, in accordance with its law. The Party shall promptly inform the Party that reported the alleged violation, as well as the Organization, of any action taken. If the Party has not taken any action within one year after receiving the information, it shall inform the Party which reported the alleged violation, and the Organization, of the reasons why no action has been taken.
- Any violation of the requirements of this Convention within the jurisdiction of any Party shall be prohibited and sanctions shall be established under the law of that Party. Whenever such a violation occurs, that Party shall either:
 - .1 cause proceedings to be taken in accordance with its law; or
 - .2 furnish to the Administration of the ship such information and evidence as may be in its possession that a violation has occurred.
- 3 The sanctions provided for by the laws of a Party pursuant to this Article shall be adequate in severity to discourage violations of this Convention wherever they occur.

ARTICLE 11 Undue delay or detention of ships

- All possible efforts shall be made to avoid a ship being unduly detained or delayed under Article 8, 9 or 10 of this Convention.
- When a ship is unduly detained or delayed under Article 8, 9 or 10 of this Convention, it shall be entitled to compensation for any loss or damage suffered.

ARTICLE 12 Communication of information

Each Party shall report to the Organization and the Organization shall disseminate, as appropriate, the following information:

- a list of Ship Recycling Facilities authorized in accordance with this Convention and operating under the jurisdiction of that Party;
- .2 contact details for the Competent Authority(ies), including a single contact point, for that Party;
- .3 a list of the recognized organizations and nominated surveyors which are authorized to act on behalf of that Party in the administration of matters relating to the control of Ship Recycling in accordance with this Convention, and the specific responsibilities and conditions of the authority delegated to the recognized organizations or nominated surveyors;
- .4 an annual list of ships flying the flag of that Party to which an International Ready for Recycling Certificate has been issued, including the name of the Recycling Company and location of the Ship Recycling Facility as shown on the certificate;
- .5 an annual list of ships recycled within the jurisdiction of that Party;
- .6 information concerning violations of this Convention; and
- .7 actions taken towards ships and Ship Recycling Facilities under the jurisdiction of that Party.

ARTICLE 13 Technical assistance and co-operation

- Parties undertake, directly or through the Organization and other international bodies, as appropriate, in respect of the safe and environmentally sound recycling of ships, to provide support for those Parties which request technical assistance:
 - .1 to train personnel;
 - .2 to ensure the availability of relevant technology, equipment and facilities;
 - .3 to initiate joint research and development programmes; and
 - .4 to undertake other actions aimed at the effective implementation of this Convention and of guidelines developed by the Organization related thereto.
- Parties undertake to co-operate actively, subject to their national laws, regulations and policies, in the transfer of management systems and technology in respect of the safe and environmentally sound recycling of ships.

ARTICLE 14 Dispute settlement

Parties shall settle any dispute between them concerning the interpretation or application of this Convention by negotiation or any other peaceful means agreed upon by them, which may include enquiry, mediation, conciliation, arbitration, judicial settlement, or resort to regional agencies or arrangements.

ARTICLE 15 Relationship with international law and other international agreements

- 1 Nothing in this Convention shall prejudice the rights and obligations of any State under the United Nations Convention on the Law of the Sea, 1982, and under the customary international law of the sea.
- Nothing in this Convention shall prejudice the rights and obligations of Parties under other relevant and applicable international agreements.

ARTICLE 16 Signature, ratification, acceptance, approval and accession

- This Convention shall be open for signature by any State at the Headquarters of the Organization from 1 September 2009 to 31 August 2010 and shall thereafter remain open for accession by any State.
- 2 States may become Parties to this Convention by:
 - .1 signature not subject to ratification, acceptance, or approval; or
 - .2 signature subject to ratification, acceptance, or approval, followed by ratification, acceptance or approval; or
 - .3 accession.
- Ratification, acceptance, approval or accession shall be effected by the deposit of an instrument to that effect with the Secretary-General.
- If a State comprises two or more territorial units in which different systems of law are applicable in relation to matters dealt with in this Convention, it may at the time of signature, ratification, acceptance, approval, or accession declare that this Convention shall extend to all its territorial units or only to one or more of them and may modify this declaration by submitting another declaration at any time.
- A declaration under paragraph 4 shall be notified to the Secretary-General in writing and shall state expressly the territorial unit or units to which this Convention applies.

A State at the time it expresses its consent to be bound by this Convention shall declare whether it requires explicit or tacit approval of the Ship Recycling Plan before a ship may be recycled in its authorized Ship Recycling Facility(ies). This declaration may be revised thereafter by notification to the Secretary-General. Such revision shall specify the effective date of the revision.

ARTICLE 17 Entry into force

- 1 This Convention shall enter into force 24 months after the date on which the following conditions are met:
 - .1 not less than 15 States have either signed it without reservation as to ratification, acceptance or approval, or have deposited the requisite instrument of ratification, acceptance, approval or accession in accordance with Article 16;
 - .2 the combined merchant fleets of the States mentioned in paragraph 1.1 constitute not less than 40 per cent of the gross tonnage of the world's merchant shipping; and
 - .3 the combined maximum annual ship recycling volume of the States mentioned in paragraph 1.1 during the preceding 10 years constitutes not less than 3 per cent of the gross tonnage of the combined merchant shipping of the same States.
- For States which have deposited an instrument of ratification, acceptance, approval or accession in respect of this Convention after the requirements for entry into force thereof have been met, but prior to the date of entry into force, the ratification, acceptance, approval or accession shall take effect on the date of entry into force of this Convention, or three months after the date of deposit of the instrument, whichever is the later date.
- 3 Any instrument of ratification, acceptance, approval or accession deposited after the date on which this Convention enters into force shall take effect three months after the date of deposit.
- 4 After the date on which an amendment to this Convention is deemed to have been accepted under Article 18, any instrument of ratification, acceptance, approval or accession deposited shall apply to the Convention, as amended.

ARTICLE 18 Amendments

- 1 This Convention may be amended by either of the procedures specified in the following paragraphs.
- 2 Amendments after consideration within the Organization:
 - .1 Any Party may propose an amendment to this Convention. A proposed amendment shall be submitted to the Secretary-General, who shall then circulate it to the Parties and Members of the Organization at least six months prior to its consideration.

- .2 An amendment proposed and circulated as above shall be referred to the Committee for consideration. Parties, whether or not Members of the Organization, shall be entitled to participate in the proceedings of the Committee for consideration and adoption of the amendment.
- .3 Amendments shall be adopted by a two-thirds majority of the Parties present and voting in the Committee, on condition that at least one-third of the Parties shall be present at the time of voting.
- .4 Amendments adopted in accordance with subparagraph 3 shall be communicated by the Secretary-General to the Parties for acceptance.
- .5 An amendment shall be deemed to have been accepted in the following circumstances:
 - .5.1 An amendment to an article of this Convention shall be deemed to have been accepted on the date on which two-thirds of the Parties have notified the Secretary-General of their acceptance of it.
 - .5.2 An amendment to the Annex shall be deemed to have been accepted at the end of a period to be determined by the Committee at the time of its adoption, which period shall not be less than ten months after the date of adoption. However, if by that date more than one-third of the Parties notify the Secretary-General that they object to the amendment, it shall be deemed not to have been accepted.
- .6 An amendment shall enter into force under the following conditions:
 - An amendment to an article of this Convention shall enter into force, for those Parties that have declared that they have accepted it, six months after the date on which it is deemed to have been accepted in accordance with subparagraph .5.1.
 - .6.2 An amendment to the Annex shall enter into force with respect to all Parties six months after the date on which it is deemed to have been accepted, except for any Party that has:
 - .6.2.1 notified its objection to the amendment in accordance with subparagraph .5.2 and that has not withdrawn such objection; or
 - amendment, that the amendment shall enter into force for it only after a subsequent notification of its acceptance.
 - .6.3 A Party that has notified an objection under subparagraph .6.2.1 may subsequently notify the Secretary-General that it accepts the amendment Such amendment shall enter into force for such Party six months after the date of its notification of acceptance, or the date on which the amendment enters into force, whichever is the later date.

.6.4 If a Party that has made a notification referred to in subparagraph .6.2.2 notifies the Secretary-General of its acceptance with respect to an amendment, such amendment shall enter into force for such Party six months after the date of its notification of acceptance, or the date on which the amendment enters into force, whichever is the later date.

3 Amendment by a Conference:

- .1 Upon the request of a Party concurred in by at least one-third of the Parties, the Organization shall convene a Conference of Parties to consider amendments to this Convention.
- .2 An amendment adopted by such a Conference by a two-thirds majority of the Parties present and voting shall be communicated by the Secretary-General to all Parties for acceptance.
- .3 Unless the Conference decides otherwise, the amendment shall be deemed to have been accepted and shall enter into force in accordance with the procedures specified in paragraphs 2.5 and 2.6 respectively.
- 4 Any Party that has declined to accept an amendment to the Annex shall be treated as a non-Party only for the purpose of application of that amendment.
- 5 Any notification under this Article shall be made in writing to the Secretary-General.
- 6 The Secretary-General shall inform the Parties and Members of the Organization of:
 - .1 any amendment that enters into force and the date of its entry into force generally and for each Party; and
 - .2 any notification made under this Article.

ARTICLE 19 Denunciation

- This Convention may be denounced by any Party at any time after the expiry of two years from the date on which this Convention enters into force for that Party.
- Denunciation shall be effected by written notification to the Secretary-General, to take effect one year after receipt or such longer period as may be specified in that notification.

ARTICLE 20 Depositary

- 1 This Convention shall be deposited with the Secretary-General, who shall transmit certified copies of this Convention to all States which have signed this Convention or acceded thereto.
- In addition to the functions specified elsewhere in this Convention, the Secretary-General shall:
 - .1 inform all States that have signed this Convention, or acceded thereto, of:
 - .1.1 each new signature or deposit of an instrument of ratification, acceptance, approval or accession, together with the date thereof;
 - .1.2 the date of entry into force of this Convention;
 - .1.3 the deposit of any instrument of denunciation from this Convention together with the date on which it was received and the date on which the denunciation takes effect; and
 - .1.4 other declarations and notifications received pursuant to this Convention, and
 - .2 as soon as this Convention enters into force, transmit the text thereof to the Secretariat of the United Nations, for registration and publication in accordance with Article 102 of the Charter of the United Nations.

ARTICLE 21 Languages

This Convention is established in a single original in the Arabic, Chinese, English, French, Russian and Spanish languages, each text being equally authentic.

DONE AT HONG KONG, CHINA, this fifteenth day of May, two thousand and nine.

IN WITNESS WHEREOF the undersigned, being duly authorized by their respective Governments for that purpose, have signed this Convention.

ANNEX

REGULATIONS FOR SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS

CHAPTER 1 - GENERAL PROVISIONS

Regulation 1 - Definitions

For the purposes of this Annex:

- "Competent person" means a person with suitable qualifications, training, and sufficient knowledge, experience and skill, for the performance of the specific work. Specifically, a Competent person may be a trained worker or a managerial employee capable of recognizing and evaluating occupational hazards, risks, and employee exposure to potentially Hazardous Materials or unsafe conditions in a Ship Recycling Facility, and who is capable of specifying the necessary protection and precautions to be taken to eliminate or reduce those hazards, risks, or exposures. The Competent Authority may define appropriate criteria for the designation of such persons and may determine the duties to be assigned to them.
- 2 "Employer" means a natural or legal person that employs one or more workers engaged in Ship Recycling.
- 3 "Existing ship" means a ship which is not a new ship.
- 4 "New ship" means a ship:
 - .1 for which the building contract is placed on or after the entry into force of this Convention; or
 - .2 in the absence of a building contract, the keel of which is laid or which is at a similar stage of construction on or after six months after the entry into force of this Convention; or
 - .3 the delivery of which is on or after 30 months after the entry into force of this Convention.
- 5 "New installation" means the installation of systems, equipment, insulation, or other material on a ship after the date on which this Convention enters into force.
- 6 "Safe-for-entry" means a space that meets the following criteria:
 - .i the oxygen content of the atmosphere and the concentration of flammable vapours are within safe limits;
 - .2 any toxic materials in the atmosphere are within permissible concentrations; and

- .3 any residues or materials associated with the work authorized by the Competent person will not produce uncontrolled release of toxic materials or an unsafe concentration of flammable vapours under existing atmospheric conditions while maintained as directed.
- 7 Safe-for-hot work means a space that meets the following criteria:
 - .1 a safe, non-explosive condition, including gas-free status, exists for the use of electric arc or gas welding equipment, cutting or burning equipment or other forms of naked flame, as well as heating, grinding, or spark generating operations;
 - .2 Safe-for-entry requirements of regulation 1.6 are met;
 - .3 existing atmospheric conditions will not change as a result of the hot work; and
 - .4 all adjacent spaces have been cleaned, or inerted, or treated sufficiently to preven the start or spread of fire.
- "Shipowner" means the person or persons or company registered as the owner of the ship or, in the absence of registration, the person or persons or company owning the ship or any other organization or person such as the manager, or the bareboat charterer, who has assumed the responsibility for operation of the ship from the owner of the ship. However, in the case of a ship owned by a State and operated by a company which in that State is registered as the ship's operator, "owner" shall mean such company. This term also includes those who have ownership of the ship for a limited period pending its sale or handing over to a Ship Recycling Facility.
- 9 "Site inspection" means an inspection of the Ship Recycling Facility confirming the condition described by the verified documentation.
- "Statement of Completion" means a confirmatory statement issued by the Ship Recycling Facility that the Ship Recycling has been completed in accordance with this Convention.
- 11 "Tanker" means an oil tanker as defined in MARPOL Annex I or an NLS tanker as defined in MARPOL Annex II.
- 12 "Worker" means any person who performs work, either regularly or temporarily, in the context of an employment relationship including contractor personnel.

Regulation 2 - General applicability

Unless expressly provided otherwise, the design, construction, survey, certification, operation and recycling of ships shall be conducted in accordance with the provisions of this Annex.

Regulation 3 - Relationship with other standards, recommendations and guidance

Parties shall take measures to implement the requirements of the regulations of this Annex taking into account relevant and applicable standards, recommendations and guidance developed by the International Labour Organization and the relevant and applicable technical standards recommendations and guidance developed under the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal.

CHAPTER 2 - REQUIREMENTS FOR SHIPS

part A - Design, construction, operation and maintenance of ships

Regulation 4 - Controls of ships' Hazardous Materials

In accordance with the requirements specified in Appendix 1 to this Convention each Party:

- .1 shall prohibit and/or restrict the installation or use of Hazardous Materials listed in Appendix 1 on ships entitled to fly its flag or operating under its authority; and
- .2 shall prohibit and/or restrict the installation or use of such materials on ships, whilst in its ports, shipyards, ship repair yards, or offshore terminals,

and shall take effective measures to ensure that such ships comply with those requirements.

Regulation 5 - Inventory of Hazardous Materials

- 1 Each new ship shall have on board an Inventory of Hazardous Materials. The Inventory shall be verified either by the Administration or by any person or organization authorized by it taking into account guidelines, including any threshold values and exemptions contained in those guidelines, developed by the Organization. The Inventory of Hazardous Materials shall be specific to each ship and shall at least:
 - .1 identify as Part I, Hazardous Materials listed in Appendices 1 and 2 to this Convention and contained in ship's structure or equipment, their location and approximate quantities; and
 - .2 clarify that the ship complies with regulation 4.
- Existing ships shall comply as far as practicable with paragraph 1 not later than 5 years after the entry into force of this Convention, or before going for recycling if this is earlier, taking into account the guidelines developed by the Organization and the Organization's Harmonized System of Survey and Certification. The Hazardous Materials listed in Appendix 1, at least, shall be identified when the Inventory is developed. For existing ships a plan shall be prepared describing the visual/sampling check by which the Inventory of Hazardous Materials is developed, taking into account the guidelines developed by the Organization.
- 3 Part I of the Inventory of Hazardous Materials shall be properly maintained and updated throughout the operational life of the ship, reflecting new installations containing Hazardous Materials listed in Appendix 2 and relevant changes in ship structure and equipment, taking into account the guidelines developed by the Organization.
- Prior to recycling the Inventory shall, in addition to the properly maintained and updated Part I, incorporate Part II for operationally generated wastes and Part III for stores, and be verified either by the Administration or by any person or organization authorized by it, taking into account the guidelines developed by the Organization.

Regulation 6 - Procedure for proposing amendments to Appendices 1 and 2

- Any Party may propose an amendment to Appendix 1 and/or Appendix 2 in accordance with this regulation. The proposed amendment shall be considered within the Organization under Article 18 paragraph 2 and this regulation.
- When the Organization receives a proposal, it shall also bring the proposal to the attention of the United Nations and its Specialized Agencies, intergovernmental organizations having agreements with the Organization and non-governmental organizations in consultative status with the Organization and shall make it available to them.
- The Committee shall establish a technical group in accordance with regulation 7 to review proposals submitted in accordance with paragraph 1 of this regulation.
- The technical group shall review the proposal along with any additional data, including decisions adopted by other international bodies regarding their lists of materials or hazardous substances, submitted by any interested entity, and shall evaluate and report to the Committee whether the Hazardous Material in question is likely, in the context of this Convention, to lead to significant adverse effects on human health or the environment such that the amendment of Appendix 1 or Appendix 2 is warranted. In this regard:
 - .1 The technical group's review shall include:
 - an evaluation of the association between the Hazardous Material in question and the likelihood, in the context of this Convention, that it will lead to significant adverse effects on human health or the environment based on the submitted data or other relevant data brought to the attention of the group;
 - .1.2 an evaluation of the potential risk reduction attributable to the proposed control measures and any other control measures that may be considered by the technical group;
 - .1.3 consideration of available information on the technical feasibility of control measures;
 - .1.4 consideration of available information on other effects arising from the introduction of such control measures relating to:
 - the environment;
 - human health and safety including that of seafarers and workers; and
 - the cost to international shipping and other relevant sectors.
 - .1.5 consideration of the availability of suitable alternatives to the Hazardou Material to be controlled, including a consideration of the potential risks alternatives;

- .1.6 consideration of the risks posed by the Hazardous Material during the recycling process; and
- .1.7 consideration of suitable threshold values and any useful or necessary exemptions.
- .2 If the technical group finds that the Hazardous Material in question is likely, in the context of this Convention, to lead to significant adverse effects on human health or the environment, lack of full scientific certainty shall not be used as a reason to prevent the group from proceeding with an evaluation of the proposal.
- .3 The technical group's report shall be in writing and shall take into account each of the evaluations and considerations referred to in subparagraph .1, except that the technical group may decide not to proceed with the evaluations and considerations described in subparagraphs .1.2 to .1.7 if it determines after the evaluation in subparagraph .1.1 that the proposal does not warrant further consideration.
- .4 The technical group's report shall include, *inter alia*, a recommendation on whether international controls pursuant to this Convention are warranted on the Hazardous Material in question, on the suitability of the specific control measures suggested in the comprehensive proposal, or on other control measures which it believes to be more suitable.
- The Committee shall decide whether to approve any proposal to amend Appendix 1 or Appendix 2, and any modifications thereto, if appropriate, taking into account the technical group's report. Any proposed amendment shall specify the application of the amendment for ships certified in accordance with this Convention before the entry into force of the amendment. If the report finds that the Hazardous Material in question is likely, in the context of this Convention, to lead to significant adverse effects on human health or the environment, lack of full scientific certainty shall not be used as a reason to prevent a decision from being taken to list a Hazardous Material in Appendix 1 or Appendix 2. A decision not to approve the proposal shall not preclude future submission of a new proposal with respect to a particular Hazardous Material if new information comes to light.

Regulation 7 - Technical Groups

- The Committee may establish one or more technical groups pursuant to regulation 6 as needed. The technical group may comprise representatives of the Parties, Members of the Organization, the United Nations and its Specialized Agencies, intergovernmental organizations having agreements with the Organization, and non-governmental organizations in consultative status with the Organization, which should preferably include representatives of institutions and laboratories with expertise in environmental fate and effects of substances, toxicological effects, marine biology, human health, economic analysis, risk management, shipbuilding, international shipping, occupational health and safety or other fields of expertise necessary to objectively review the technical merits of a proposal.
- The Committee shall decide on the terms of reference, organization, participation and operation of the technical groups. Such terms shall provide for protection of any confidential information that may be submitted. Technical groups may hold such meetings as required, but shall endeavour to conduct their work through written or electronic correspondence or other media as appropriate.

Only the representatives of Parties may participate in formulating any recommendation to the Committee pursuant to regulation 6. A technical group shall endeavour to achieve unanimity among the representatives of the Parties. If unanimity is not possible, the technical group shall communicate any minority views of such representatives.

Part B - Preparation for Ship Recycling

Regulation 8 - General requirements

Ships destined to be recycled shall:

- .1 only be recycled at Ship Recycling Facilities that are:
 - .1 authorized in accordance with this Convention; and
 - .2 fully authorized to undertake all the ship recycling which the Ship Recycling Plan specifies to be conducted by the identified Ship Recycling Facility(ies);
- .2 conduct operations in the period prior to entering the Ship Recycling Facility in order to minimize the amount of cargo residues, remaining fuel oil, and waste remaining on board;
- in the case of a tanker, arrive at the Ship Recycling Facility with cargo tanks and pump room(s) in a condition that is ready for certification as Safe-for-entry, of Safe-for-hot work, or both, according to national laws, regulations and policies of the Party under whose jurisdiction the Ship Recycling Facility operates;
- .4 provide to the Ship Recycling Facility all available information relating to the ship for the development of the Ship Recycling Plan required by regulation 9;
- .5 complete the Inventory required by regulation 5; and
- be certified as ready for recycling by the Administration or organization recognized by it, prior to any recycling activity taking place.

Regulation 9 - Ship Recycling Plan

A ship-specific Ship Recycling Plan shall be developed by the Ship Recycling Facility(ies) prior to any recycling of a ship, taking into account the guidelines developed by the Organization. The Ship Recycling Plan shall:

- .1 be developed taking into account information provided by the shipowner;
- 2. be developed in the language accepted by the Party authorizing the Ship Recycling Facility, and if the language used is not English, French or Spanish, the Ship Recycling Plan shall be translated into one of these languages, except where the Administration is satisfied that this is not necessary;

- .3 include information concerning inter alia, the establishment, maintenance, and monitoring of Safe-for-entry and Safe-for-hot work conditions and how the type and amount of materials including those identified in the Inventory of Hazardous Materials will be managed;
- .4 in accordance with the declaration deposited pursuant to Article 16.6, be either explicitly or tacitly approved by the Competent Authority authorizing the Ship Recycling Facility. The Competent Authority shall send written acknowledgement of receipt of the Ship Recycling Plan to the Ship Recycling Facility, Ship Owner and Administration within three (3) working days of its receipt in accordance with regulation 24. Thereafter:
 - .1 where a Party requires explicit approval of the Ship Recycling Plan, the Competent Authority shall send written notification of its decision to approve or deny the Ship Recycling Plan to the Ship Recycling Facility, Ship Owner and Administration; and
 - .2 where a Party requires tacit approval of the Ship Recycling Plan, the acknowledgment of receipt shall specify the end date of a 14-day review period. The Competent Authority shall notify any written objection to the Ship Recycling Plan to the Ship Recycling Facility, Ship Owner and Administration within this 14-day review period. Where no such written objection has been notified, the Ship Recycling Plan shall be deemed to be approved.
- .5 once approved in accordance with paragraph .4, be made available for inspection by the Administration, or any nominated surveyors or organization recognized by it; and
- .6 where more than one Ship Recycling Facility is used, identify the Ship Recycling Facilities to be used and specify the recycling activities and the order in which they occur at each authorized Ship Recycling Facility.

Part C - Surveys and certification

Regulation 10 - Surveys

- 1 Ships to which this Convention applies shall be subject to the surveys specified below:
 - an initial survey before the ship is put in service, or before the International Certificate on Inventory of Hazardous Materials is issued. This survey shall verify that Part I of the Inventory required by regulation 5 is in accordance with the requirements of this Convention;
 - .2 a renewal survey at intervals specified by the Administration, but not exceeding five years. This survey shall verify that Part I of the Inventory of Hazardous Materials required by regulation 5 complies with the requirements of this Convention;

- an additional survey, either general or partial, according to the circumstances, may be made at the request of the shipowner after a change, replacement, or significant repair of the structure, equipment, systems, fittings, arrangements and material. The survey shall be such as to ensure that any such change, replacement, or significant repair has been made in the way that the ship continues to comply with the requirements of this Convention, and that Part I of the Inventory is amended at necessary; and
- .4 a final survey prior to the ship being taken out of service and before the recycling of the ship has started. This survey shall verify:
 - .1 that the Inventory of Hazardous Materials as required by regulation 5.4 is in accordance with the requirements of this Convention taking into account the guidelines developed by the Organization;
 - .2 that the Ship Recycling Plan, as required by regulation 9, properly reflects the information contained in the Inventory of Hazardous Materials as required by regulation 5.4 and contains information concerning the establishment, maintenance and monitoring of Safe-for-entry and Safe-for-hot work conditions; and
 - .3 that the Ship Recycling Facility(ies) where the ship is to be recycled holds a valid authorization in accordance with this Convention.
- Surveys of ships for the purpose of enforcement of the provisions of this Convention shall be carried out by officers of the Administration, taking into account the guidelines developed by the Organization. The Administration may, however, entrust the surveys either to surveyors nominated for the purpose or to organizations recognized by it.
- 3 An Administration nominating surveyors or recognizing organizations to conduct surveys, as described in paragraph 2 shall, as a minimum, empower such nominated surveyors or recognized organizations to:
 - .1 require a ship that they survey to comply with the provisions of this Convention; and
 - .2 carry out surveys and inspections if requested by the appropriate authorities of a port State that is a Party.
- In every case, the Administration concerned shall be responsible to ensure the completeness and efficiency of the survey and shall undertake to ensure the necessary arrangements to satisfy this obligation.
- The initial and renewal surveys should be harmonized with the surveys required by other applicable statutory instruments of the Organization.

Regulation 11 - Issuance and endorsement of certificates

- An International Certificate on Inventory of Hazardous Materials shall be issued either by the Administration or by any person or organization authorized by it after successful completion of an initial or renewal survey conducted in accordance with regulation 10, to any ships to which regulation 10 applies, except for existing ships for which both an initial survey and a final survey are conducted at the same time, taking into account the guidelines developed by the Organization.
- The International Certificate on Inventory of Hazardous Materials issued under paragraph 1, at the request of the shipowner, shall be endorsed either by the Administration or by any person or organization authorized by it after successful completion of an additional survey conducted in accordance with regulation 10.
- Notwithstanding regulation 14.2 and the requirements of regulation 10.1,2, when the renewal survey is completed within three months before the expiry date of the existing certificate, the new certificate shall be valid from the date of completion of the renewal survey to a date not exceeding five years from the date of expiry of the existing certificate.
- When the renewal survey is completed after the expiry date of the existing certificate, the new certificate shall be valid from the date of completion of the renewal survey to a date not exceeding five years from the date of expiry of the existing certificate.
- When the renewal survey is completed more than three months before the expiry date of the existing certificate, the new certificate shall be valid from the date of completion of the renewal survey to a date not exceeding five years from the date of completion of the renewal survey.
- If a certificate is issued for a period of less than five years, the Administration may extend the validity of the certificate beyond the expiry date to the maximum period specified in regulation 10.1.2.
- If a renewal survey has been completed and a new certificate cannot be issued or placed on board the ship before the expiry date of the existing certificate, the person or organization authorized by the Administration may endorse the existing certificate and such a certificate shall be accepted as valid for a further period which shall not exceed five months from the expiry date.
- If a ship at the time when a certificate expires is not in a port in which it is to be surveyed, the Administration may extend the period of validity of the certificate but this extension shall be granted only for the purpose of allowing the ship to complete its voyage to the port in which it is to be surveyed and then only in cases where it appears proper and reasonable to do so. No certificate shall be extended for a period longer than three months, and a ship to which an extension is granted shall not, on its arrival in the port in which it is to be surveyed, be entitled by virtue of such extension to leave that port without having a new certificate. When the renewal survey is completed, the new certificate shall be valid to a date not exceeding five years from the date of expiry of the existing certificate before the extension was granted.
- A certificate issued to a ship engaged on short voyages which has not been extended under the foregoing provisions of this regulation may be extended by the Administration for a period of grace of up to one month from the date of expiry stated on it. When the renewal survey is completed, the new certificate shall be valid to a date not exceeding five years from the date of expiry of the existing certificate before the extension was granted.

- In special circumstances, as determined by the Administration, a new certificate need not be dated from the date of expiry of the existing certificate as required by paragraph 4, 8 or 9 of this regulation. In these special circumstances, the new certificate shall be valid to a date not exceeding five years from the date of completion of the renewal survey.
- An International Ready for Recycling Certificate shall be issued either by the Administration or by any person or organization authorized by it, after successful completion of final survey in accordance with the provisions of regulation 10, to any ships to which regulation 10 applies, taking into account the authorization of the Ship Recycling Facility and the guidelines developed by the Organization.
- A certificate issued under the authority of a Party shall be accepted by the other Parties and regarded for all purposes covered by this Convention as having the same validity as a certificate issued by them. Certificates shall be issued or endorsed either by the Administration or by any person or organization duly authorized by it. In every case, the Administration assumes full responsibility for the certificate.

Regulation 12 - Issuance or endorsement of a certificate by another Party

- At the request of the Administration, another Party may cause a ship to be surveyed and if satisfied that the provisions of this Convention are complied with, shall issue or authorize the issuance of a certificate to the ship, and where appropriate, endorse or authorize the endorsement of that certificate on the ship, in accordance with this Annex.
- A copy of the certificate and a copy of the survey report shall be transmitted as soon as possible to the requesting Administration.
- A certificate so issued shall contain a statement to the effect that it has been issued at the request of the Administration and it shall have the same force and receive the same recognition as a certificate issued by the Administration.
- 4 No certificate shall be issued to a ship entitled to fly the flag of a State which is not a Party.

Regulation 13 - Form of the certificates

The certificates shall be drawn up in an official language of the issuing Party, in the form set forth in Appendices 3 and 4. If the language used is not English, French or Spanish, the text shall include a translation into one of these languages. The Administration may, however, issue the International Certificate on Inventory of Hazardous Materials drawn up only in an official language of the issuing Party to ships not engaged in voyages to ports or offshore terminals under the jurisdiction of other Parties to this Convention and the International Ready for Recycling Certificate drawn up only in an official language of the issuing Party to ships recycled in Ship Recycling Facilities under the jurisdiction of the issuing Party.

Regulation 14 - Duration and validity of the certificates

- 1 An International Certificate on Inventory of Hazardous Materials issued under regulation 11 or 12 shall cease to be valid in any of the following cases:
 - .1 if the condition of the ship does not correspond substantially with the particulars of the certificate, including where Part I of the Inventory of Hazardous Materials is not properly maintained and updated, reflecting changes in ship structure and equipment, in accordance with the guidelines developed by the Organization;
 - .2 upon transfer of the ship to the flag of another State. A new certificate shall only be issued when the Party issuing the new certificate is fully satisfied that the ship is in compliance with the requirements of regulation 10. In the case of a transfer between Parties, if requested within three months after the transfer has taken place, the Party whose flag the ship was formerly entitled to fly shall, as soon as possible, transmit to the Administration copies of the certificates carried by the ship before the transfer and, if available, copies of the relevant survey reports;
 - .3 if the renewal survey is not completed within the periods specified under regulations 10.1 and 11; or
 - .4 if the certificate is not endorsed in accordance with regulation 11 or 12.
- 2 An International Certificate on Inventory of Hazardous Materials shall be issued for a period specified by the Administration, which shall not exceed five years.
- 3 An International Ready for Recycling Certificate shall be issued for a period specified by the Administration that shall not exceed three months.
- 4 An International Ready for Recycling Certificate issued under regulation 11 or 12 shall cease to be valid if the condition of the ship does not correspond substantially with the particulars of the certificate.
- 5 The International Ready for Recycling Certificate may be extended by the Administration or by any person or organization authorized by it for a single point to point voyage to the Ship Recycling Facility.

CHAPTER 3 - REQUIREMENTS FOR SHIP RECYCLING FACILITIES

Regulation 15 - Controls on Ship Recycling Facilities

- 1 Each Party shall establish legislation, regulations, and standards that are necessary to ensure that Ship Recycling Facilities are designed, constructed, and operated in a safe and environmentally sound manner in accordance with the regulations of this Convention.
- 2 Each Party shall establish a mechanism for authorizing Ship Recycling Facilities with appropriate conditions to ensure that such Ship Recycling Facilities meet the requirements of this Convention.

- Each Party shall establish a mechanism for ensuring that Ship Recycling Facilities comply with the requirements of this chapter including the establishment and effective use of inspection, monitoring and enforcement provisions, including powers of entry and sampling. Such a mechanism may include an audit scheme to be carried out by the Competent Authority(ies) or an organization recognized by the Party, taking into account guidelines developed by the Organization, and the results of these audits should be communicated to the Organization.
- Each Party shall designate one or more Competent Authorities and the single contact point to be used by the Organization, Parties to this Convention and other interested entities, for matters related to Ship Recycling Facilities operating within the jurisdiction of that Party.

Regulation 16 - Authorization of Ship Recycling Facilities

- 1 Ship Recycling Facilities which recycle ships to which this Convention applies, or ships treated similarly pursuant to Article 3.4, shall be authorized by a Party taking into account the guidelines developed by the Organization.
- The authorization shall be carried out by the Competent Authority(ies) and shall include verification of documentation required by this Convention and a site inspection. The Competent Authority(ies) may however entrust the authorization of Ship Recycling Facilities to organizations recognized by it.
- The Party shall notify the Organization of the specific responsibilities and conditions of the authority delegated to the recognized organizations, for circulation to Parties. In every case, the Competent Authority(ies) retains full responsibility for the authorization issued.
- 4 The authorization shall be drawn up in the form set forth in Appendix 5. If the language used is not English, French or Spanish, the text shall include a translation into one of these languages.
- The authorization shall be valid for a period specified by the Party but not exceeding five years. The Party shall identify the terms for which the authorization will be issued, withdrawn, suspended, amended and renewed, and communicate these terms to the Ship Recycling Facilities. If a Ship Recycling Facility refuses inspection by the Competent Authority(ies) or the recognized organization operating on its/their behalf, the authorization shall be suspended or withdrawn.
- If incidents or actions taken at the Ship Recycling Facility have the effect that the conditions for the authorization are no longer fulfilled, the Ship Recycling Facility shall inform the Competent Authority(ies). The Competent Authority(ies) may accordingly decide to suspend or withdraw the authorization, or require corrective actions by the Ship Recycling Facility.

Regulation 17 - General requirements

Ship Recycling Facilities authorized by a Party shall establish management systems, procedures and techniques which do not pose health risks to the workers concerned or to the population in the vicinity of the Ship Recycling Facility and which will prevent, reduce, minimize and to the extent practicable eliminate adverse effects on the environment caused by Ship Recycling, taking into account guidelines developed by the Organization.

- 2 Ship Recycling Facilities authorized by a Party shall, for ships to which this Convention applies, or ships treated similarly pursuant to Article 3.4:
 - .1 only accept ships that:
 - .1 comply with this Convention; or
 - .2 meet the requirements of this Convention;
 - .2 only accept ships which they are authorized to recycle; and
 - .3 have the documentation of its authorization available if such documentation is requested by a shipowner that is considering recycling a ship at that Ship Recycling Facility.

Regulation 18 - Ship Recycling Facility Plan

Ship Recycling Facilities authorized by a Party shall prepare a Ship Recycling Facility Plan. The Plan shall be adopted by the board or the appropriate governing body of the Recycling Company, and shall include:

- .1 a policy ensuring workers' safety and the protection of human health and the environment, including the establishment of objectives that lead to the minimization and elimination to the extent practicable of the adverse effects on human health and the environment caused by Ship Recycling;
- .2 a system for ensuring implementation of the requirements set out in this Convention, the achievement of the goals set out in the policy of the Recycling Company, and the continuous improvement of the procedures and standards used in the Ship Recycling operations;
- .3 identification of roles and responsibilitilies for employers and workers when conducting Ship Recycling operations;
- 4 a programme for providing appropriate information and training of workers for the safe and environmentally sound operation of the Ship Recycling Facility;
- .5 an emergency preparedness and response plan;
- .6 a system for monitoring the performance of Ship Recycling;
- .7 a record-keeping system showing how Ship Recycling is carried out;
- .8 a system for reporting discharges, emissions, incidents and accidents causing damage, or with the potential of causing damage, to workers' safety, human health and the environment; and
- .9 a system for reporting occupational diseases, accidents, injuries and other adverse effects on workers' safety and human health,

taking into account guidelines developed by the Organization.

Regulation 19 - Prevention of adverse effects to human health and the environment

Ship Recycling Facilities authorized by a Party shall establish and utilize procedures to:

- .1 prevent explosions, fires, and other unsafe conditions by ensuring the Safe-for-hot work conditions and procedures are established, maintained and monitored throughout Ship Recycling;
- .2 prevent harm from dangerous atmospheres and other unsafe conditions by ensuring that Safe-for-entry conditions and procedures are established maintained, and monitored in ship spaces, including confined spaces and enclosed spaces, throughout Ship Recycling;
- .3 prevent other accidents, occupational diseases and injuries or other adverse effects on human health and the environment; and
- .4 prevent spills or emissions throughout Ship Recycling which may cause harm to human health and/or the environment,

taking into account guidelines developed by the Organization.

Regulation 20 - Safe and environmentally sound management of Hazardous Materials

- Ship Recycling Facilities authorized by a Party shall ensure safe and environmentally sound removal of any Hazardous Material contained in a ship certified in accordance with regulation 11 or 12. The person(s) in charge of the recycling operations and the workers shall be familiar with the requirements of this Convention relevant to their tasks and, in particular, actively use the Inventory of Hazardous Materials and the Ship Recycling Plan, prior to and during the removal of Hazardous Materials.
- 2 Ship Recycling Facilities authorized by a Party shall ensure that all Hazardous Materials detailed in the Inventory are identified, labelled, packaged and removed to the maximum extent possible prior to cutting by properly trained and equiped workers, taking into account the guidelines developed by the Organization, in particular:
 - .1 hazardous liquids, residues and sediments;
 - .2 substances or objects containing heavy metals such as lead, mercury, cadmium and hexavalent chromium;
 - .3 paints and coatings that are highly flammable and/or lead to toxic releases:
 - .4 asbestos and materials containing asbestos;
 - .5 PCB and materials containing PCBs, ensuring that heat inducing equipment is avoided during such operations;
 - .6 CFCs and halons; and
 - .7 other Hazardous Materials not listed above and that are not a part of the ship structure.

- 3 Ship Recycling Facilities authorized by a Party shall provide for and ensure safe and environmentally sound management of all Hazardous Materials and wastes removed from the ship recycled at that Ship Recycling Facility. Waste management and disposal sites shall be identified to provide for the further safe and environmentally sound management of materials.
- All wastes generated from the recycling activity shall be kept separate from recyclable materials and equipment, labelled, stored in appropriate conditions that do not pose a risk to the workers, human health or the environment and only transferred to a waste management facility authorized to deal with their treatment and disposal in a safe and environmentally sound manner.

Regulation 21 - Emergency preparedness and response

Ship Recycling Facilities authorized by a Party shall establish and maintain an emergency preparedness and response plan. The plan shall be made having regard to the location and environment of the Ship Recycling Facility, and shall take into account the size and nature of activities associated with each Ship Recycling operation. The plan shall furthermore:

- .1 ensure that the necessary equipment and procedures to be followed in the case of an emergency are in place, and that drills are conducted on a regular basis;
- .2 ensure that the necessary information, internal communication and coordination are provided to protect all people and the environment in the event of an emergency at the Ship Recycling Facility;
- .3 provide for communication with, and information to, the relevant Competent Authority(ies), the neighbourhood and emergency response services;
- .4 provide for first-aid and medical assistance, fire-fighting and evacuation of all people at the Ship Recycling Facility, pollution prevention; and
- .5 provide for relevant information and training to all workers of the Ship Recycling Facility, at all levels and according to their competence, including regular exercises in emergency prevention, preparedness and response procedures.

Regulation 22 - Worker safety and training

- Ship Recycling Facilities authorized by a Party shall provide for worker safety by measures including:
 - .1 ensuring the availability, maintenance and use of personal protective equipment and clothing needed for all Ship Recycling operations;
 - .2 ensuring that training programmes are provided to enable workers to safely undertake all Ship Recycling operations they are tasked to do; and
 - .3 ensuring that all workers at the Ship Recycling Facility have been provided with appropriate training and familiarization prior to performing any Ship Recycling operation.

- 2 Ship Recycling Facilities authorized by a Party shall provide and ensure the use of personal protective equipment for operations requiring such use, including:
 - .1 head protection;
 - .2 face and eye protection;
 - .3 hand and foot protection;
 - .4 respiratory protective equipment;
 - .5 hearing protection;
 - .6 protectors against radioactive contamination;
 - .7 protection from falls; and
 - .8 appropriate clothing.
- 3 Ship Recycling Facilities authorized by a Party may co-operate in providing for training of workers. Taking into account the guidelines developed by the Organization, the training programmes set forth in paragraph 1.2 of this regulation shall:
 - .1 cover all workers including contractor personnel and employees in the Ship Recycling Facility;
 - .2 be conducted by Competent persons;
 - .3 provide for initial and refresher training at appropriate intervals;
 - .4 include participants' evaluation of their comprehension and retention of the training;
 - .5 be reviewed periodically and modified as necessary; and
 - .6 be documented.

Regulation 23 - Reporting on incidents, accidents, occupational diseases and chronic effects

- 1 Ship Recycling Facilities authorized by a Party shall report to the Competent Authority(ies) any incident, accident, occupational diseases, or chronic effects causing, or with the potential of causing, risks to workers safety, human health and the environment.
- Reports shall contain a description of the incident, accident, occupational disease, or chronic effect, its cause, the response action taken and the consequences and corrective actions to be taken.

CHAPTER 4 - REPORTING REQUIREMENTS

Regulation 24 - Initial notification and reporting requirements

- A shipowner shall notify the Administration in due time and in writing of the intention to recycle a ship in order to enable the Administration to prepare for the survey and certification required by this Convention.
- A Ship Recycling Facility when preparing to receive a ship for recycling shall notify in due time and in writing its Competent Authority(ies) of the intent. The notification shall include at least the following ship details:
 - .1 name of the State whose flag the ship is entitled to fly;
 - .2 date on which the ship was registered with that State;
 - .3 ship's identification number (IMO number);
 - .4 hull number on new-building delivery;
 - .5 name and type of the ship;
 - .6 port at which the ship is registered;
 - .7 name and address of the Shipowner as well as the IMO registered owner identification number;
 - .8 name and address of the company as well as the IMO company identification number;
 - .9 name of all classification society(ies) with which the ship is classed;
 - ship's main particulars (Length overall (LOA), Breadth (Moulded), Depth (Moulded), Lightweight, Gross and Net tonnage, and engine type and rating);
 - .11 Inventory of Hazardous Materials; and
 - .12 draft ship recycling plan for approval pursuant to regulation 9.
- When the ship destined to be recycled has acquired the International Ready for Recycling Certificate, the Ship Recycling Facility shall report to its Competent Authority(ies) the planned start of the Ship Recycling. The report shall be in accordance with the reporting format in Appendix 6, and shall at least include a copy of the International Ready for Recycling Certificate. Recycling of the ship shall not start prior to the submission of the report.

Regulation 25 - Reporting upon completion

When the partial or complete recycling of a ship is completed in accordance with the requirements of this Convention, a Statement of Completion shall be issued by the Ship Recycling Facility and reported to its Competent Authority(ies). This report must be compiled shown in appendix 7. The Competent Authority(ies) shall send a copy of the Statement to the Administration which issued the International Ready for Recycling Certificate for the ship The Statement shall be issued within 14 days of the date of partial or completed Ship Recycling in accordance with the Ship Recycling Plan and shall include a report on incidents and accident damaging human health and/or the environment, if any.

CONTROLS OF HAZARDOUS MATERIALS

APPENDIX 1

Hazardous Material	Definitions	Control measures
Asbestos	Materials containing asbestos	For all ships, new installation of materials which contain asbestos shall be prohibited.
Ozone-depleting gubstances	Ozone-depleting substances means controlled substances defined in paragraph 4 of article 1 of the Montreal Protocol on Substances that Deplete the Ozone Layer, 1987, listed in Annexes A,B,C or E to the said Protocol in force at the time of application or interpretation of this Annex.	New installations which contain ozone-depleting substances shall be prohibited on all ships, except that new installations containing hydrochlorofluorocarbons (HCFCs) are permitted until 1 January 2020.
	Ozone-depleting substances that may be found on board ship include, but are not limited to:	
	Halon 1211 Bromochlorodifluoromethane Halon 1301 Bromotrifluoromethane Halon 2402 1,2-Dibromo-1,1,2,2- tetrafluoroethane (also known as Halon 114B2) CFC-11 Trichlorofluoromethane CFC-12 Dichlorodifluoromethane CFC-113 1,1,2-Trichloro-1,2,2- trifluoroethane CFC-114 1,2-Dichloro-1,1,2,2- tetrafluoroethane CFC-115 Cbloropentafluoroethane	
Polychlorinated biphenyls (PCB)	"Polychlorinated biphenyls" means aromatic compounds formed in such a manner that the hydrogen atoms on the biphenyl molecule (two benzene rings bonded together by a single carbon-carbon bond) may be replaced by up to ten chlorine atoms	For all ships, new installation of materials which contain Polychlorinated biphenyls shall be prohibited.
Anti-fouling compounds and systems	Anti-fouling compounds and systems regulated under Annex I to the International Convention on the Control of Harmful Anti-fouling Systems on Ships, 2001 (AFS Convention) in force at the time of application or interpretation of this Annex.	 No ship may apply anti-fouling systems containing organotin compounds as a biocide or any other anti-fouling system whose application or use is prohibited by the AFS Convention. No new ships or new installations on ships shall apply or employ anti-fouling compounds or systems in a manner inconsistent with the AFS Convention.

APPENDIX 2

MINIMUM LIST OF ITEMS FOR THE INVENTORY OF HAZARDOUS MATERIALS

Any Hazardous Materials listed in Appendix 1	
Cadmium and Cadmium Compounds	
Hexavalent Chromium and Hexavalent Chromium Compounds	
Lead and Lead Compounds	
Mercury and Mercury Compounds	
Polybrominated Biphenyl (PBBs)	
Polybrominated Diphenyl Ethers (PBDEs)	
Polychlorinated Naphthalenes (more than 3 chlorine atoms)	
Radioactive Substances	
Certain Shortchain Chlorinated Paraffins (Alkanes, C10-C13, chloro)	

APPENDIX 3

FORM OF THE INTERNATIONAL CERTIFICATE ON INVENTORY OF HAZARDOUS MATERIALS

INTERNATIONAL CERTIFICATE ON INVENTORY OF HAZARDOUS MATERIALS		
(Note: This certificate shall be supplemented by Part I of the Inventory of Hazardous Materials)		
(Official seal)	State)	
Issued under the provisions of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (hereinafter referred to as "the Convention") under the authority of the Government of		
(Name of State)		
by(Full designation of the person or organization authorized under the provisions of the Convention)		

Particulars of the Ship

Name of Ship	
Distinctive number or letters	
Port of Registry	
Gross tonnage	
IMO number	
Name and address of shipowner	
IMO registered owner	
identification number	
IMO company identification	
number	
Date of Construction	

Particulars of Part I of the Inventory of Hazardous Materials	
Part I of the Inventory of Hazardous Materials identification/verification number:	*************

Note: Part I of the Inventory of Hazardous Materials, as required by regulation 5 of the Annex to the Convention, is an essential part of the International Certificate on Inventory of Hazardous Materials and must always accompany the International Certificate of Inventory of Hazardous Materials. Part I of the Inventory of Hazardous Materials should be compiled on the basis of the standard format shown in the guidelines developed by the Organization.

THIS IS TO CERTIFY:

- that the ship has been surveyed in accordance with regulation 10 of the Annex to the Convention; and
- 2. that the survey shows that Part I of the Inventory of Hazardous Materials fully complies with the applicable requirements of the Convention.

Completion date of survey on which this certificate is based:	(dd/mm/yyyy)		
This certificate is valid until	(dd/mm/yyyy)		
Issued at(Place of issue of certificate)			
(dd/mm/yyyy) (Date of issue) (Signature of duly authorized official issuing	the certificate)		

(Seal or stamp of the authority, as appropriate)

ENDORSEMENT TO EXTEND THE CERTIFICATE IF VALID FOR LESS THAN FIVE YEARS WHERE REGULATION 11.6 APPLIES*

The ship complies with the relevant provisions of the Convention, and this certificate shall, in accordance with regulation 11.6 of the Annex to the Convention, be accepted as valid until (dd/mm/yyyy):		
Signed:		
(Signature of duly authorized official)		
Place:		
Date: (dd/mm/yyyy)		
(Seal or stamp of the authority, as appropriate)		
ENDORSEMENT WHERE THE RENEWAL SURVEY HAS BEEN COMPLETED AND REGULATION 11.7 APPLIES*		
The ship complies with the relevant provisions of the Convention, and this certificate shall, in accordance with regulation 11.7 of the Annex to the Convention, be accepted as valid until (dd/mm/yyyy):		
Signed:		
(Signature of duly authorized official)		
Place:		
Date: (dd/mm/yyyy)		
(Seal or stamp of the authority, as appropriate)		

This page of the endorsement at survey shall be reproduced and added to the certificate as considered necessary by the Administration.

ENDORSEMENT TO EXTEND THE VALIDITY OF THE CERTIFICATE UNTIL REACHING THE PORT OF SURVEY OR FOR A PERIOD OF GRACE WHERE **REGULATION 11.8 OR 11.9 APPLIES'**

This certificate shall, in accordance with regulation 11.8 or 11.9** of the Annex to the Convention, be accepted as valid until (dd/mm/yyyy):
Signed: (Signature of duly authorized official)
Place:
Date: (dd/inm/yyyy)
(Seal or stamp of the authority, as appropriate)
ENDORSEMENT FOR ADDITIONAL SURVEY*
At an additional survey in accordance with regulation 10 of the Annex to the Convention, the ship was found to comply with the relevant provisions of the Convention.
ship was found to comply with the relevant provisions of the Convention.
ship was found to comply with the relevant provisions of the Convention.
ship was found to comply with the relevant provisions of the Convention. Signed: (Signature of duly authorized official)
Signed:
ship was found to comply with the relevant provisions of the Convention. Signed: (Signature of duly authorized official) Place:
ship was found to comply with the relevant provisions of the Convention. Signed: (Signature of duly authorized official) Place: Date: (dd/mm/yyyy)

by the Administration.

^{**} Delete as appropriate.

APPENDIX 4

FORM OF THE INTERNATIONAL READY FOR RECYCLING CERTIFICATE

INTERNATIONAL READY FOR RECYCLING CERTIFICATE

(Note: This certificate shall be supplemented by the Inventory of Hazardous Materials and the Ship Recycling Plan)

(Official seal)	(2)	State)
Issued under the provisions of the Hong I Environmentally Sound Recycling of Ships, under the authority of the Government of		
(Nan	ne of State)	
	rson or organization authorized ions of the Convention)	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,

Particulars of the Ship

Name of Ship	
Distinctive number or letters	M 1 TO THE TOTAL PROPERTY OF THE TOTAL PROPE
Port of Registry	
Gross tonnage	
IMO number	
Name and address of shipowner	
IMO registered owner	
identification number	
IMO company identification	
number	
Date of Construction	

Particulars of the Ship Recycling Facility(ies)

Name of Ship Recycling Facility	
Distinctive Recycling Company	
identity number*	
Full address	
Date of expiry of DASR	

This number is based on the Document of Authorization to conduct Ship Recycling (DASR).

Particulars of the Inventory of Hazardous Materials

Inventory of Hazardous Materials identification/verification number:

Note: The Inventory of Hazardous Materials, as required by regulation 5 of the Annex the Convention, is an essential part of the International Ready for Recycling Certificate at must always accompany the International Ready for Recycling Certificate. The Inventor of Hazardous Materials should be compiled on the basis of the standard format shown the guidelines developed by the Organization.

Particulars of the Ship Recycling Plan

Ship Recycling Plan identification/verification number:

Note: The Ship Recycling Plan, as required by regulation 9 of the Annex to the Convention, is an essential part of the International Ready for Recycling Certificate and must always accompany the International Ready for Recycling Certificate.

THIS IS TO CERTIFY:

- that the ship has been surveyed in accordance with regulation 10 of the Annex to the Convention;
- that the ship has a valid Inventory of Hazardous Materials in accordance with regulation 5 of the Annex to the Convention;
- that the Ship Recycling Plan, as required by regulation 9, properly reflects the information contained in the Inventory of Hazardous Materials as required by regulation 5.4 and contains information concerning the establishment, maintenance and monitoring of Safe-for-entry and Safe-for-hot work conditions; and
- 4 that the Ship Recycling Facility(ies) where this ship is to be recycled holds a valid authorization in accordance with the Convention.

This certificate is valid until (dd/mm/yyyy)		
	(Date)	
Issued at(Place of	issue of certificate)	
	,	
(dd/mm/yyyy)	ture of duly authorized official issuing the certificate)	
(Caul on utamo of 4)	(mark a mile)	
(Seat or stamp of th	he authority, as appropriate)	

ENDORSEMENT TO EXTEND THE VALIDITY OF THE CERTIFICATE UNTIL REACHING THE PORT OF THE SHIP RECYCLING FACILITY FOR A PERIOD $O_{\mathbb{R}}$ GRACE WHERE REGULATION 14.5 APPLIES*

This certificate shall, in accordance with regulation 14.5 of the Annex to the Convention, accepted as valid for a single point to point voyage

from the port of:

to the port of:

(Signature of duly authorized official)

Place:

Date: (dd/mm/yyyy)

(Seal or stamp of the authority, as appropriate)

^{*} This page of the endorsement shall be reproduced and added to the certificate as considered necessary by the Administration.

APPENDIX 5

FORM OF THE AUTHORIZATION OF SHIP RECYCLING FACILITIES

Document of Authorization to conduct Ship Recycling (DASR) in accordance with the requirements of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009

	ong International Convention for the Safe and 009 (hereinafter referred to as "the Convention")
(Name	e of State)
by(Full designation of the Compete	ent Authority under the Convention)
Name of Ship Recycling Facility	
Distinctive Recycling Company identity No.	
Full address of Ship Recycling Facility	
Primary contact person	
Phone number	
E-mail address	
Name, address, and contact information of ownership company	
Working language(s)	
procedures and techniques in accordance with C	Facility has implemented management systems, Chapters 3 and 4 to the Annex to the Convention. and is subject to the limitations identified in
This authorization is subject to amendment, accordance with regulation 16 of the Annex to t	suspension, withdrawal, or periodic renewal in the Convention.
Issued at	5.2
(Place of issue of	of the authorization)
	of duly authorized official issuing the authorization)
(Typed name and title of	duly authorized official issuing the authorization
(Seal or stamp of the a	nuthority, as appropriate)

SUPPLEMENT TO:

Document of Authorization to undertake Ship Recycling (DASR) in accordance with the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009

Notes:

- 1 This record shall be permanently attached to the DASR. The DASR shall be available at the Ship Recycling Facility at all times.
- 2 All procedures, plans and other documents produced by the Ship Recycling Facility and required under the terms to which the DASR has been issued shall be available in the working language of the Ship Recycling Facility and in either English, French or Spanish
- 3 The authorization is subject to the limitations defined by this supplement.

1 GENERAL TERMS

1.1 Requirements of the Convention

The Ship Recycling Facility meets the requirements that it be designed, constructed, and operated in a safe and environmentally sound manner in accordance with the Convention including meeting the relevant requirements of:

Regulation 16 - Authorization of Ship Recycling Facilities

Regulation 17 - General requirements

Regulation 18 - Ship Recycling Facility Plan

Regulation 19 - Prevention of adverse effects to human health and the environment

Regulation 20 - Safe and environmentally sound management of Hazardous Materials

Regulation 21 - Emergency preparedness and response

Regulation 22 - Worker safety and training

Regulation 23 - Reporting on incidents, accidents, occupational diseases and chronic effects

Regulation 24 - Initial notification and reporting requirements

These requirements are imposed on the Ship Recycling Facility by way of

Regulation 25 - Reporting upon completion

		-	_	18	-				
hanism that applies)	ther mech	m o	ndarde	local of	origation	licence auth	a narmit	Idontify the	1
minimum apprecy	THUI THELLI	, Ut U	HUME OD,	ELECTION	AL LECTION,	HELFILE, MILLI	C ENC! ITEEL,	Tructatil L 1111	- 1

Ship Recycling Facility Plan identification/verification number:

1.2 Acceptance of ships

For ships to which the Convention applies and ships treated similarly pursuant to Article 3.4 of the Convention, the Ship Recycling Facility can only accept a ship for recycling in accordance with regulation 17 of the Annex to the Convention.

1.3 Safe-for-hot work and Safe-for-entry conditions

The Ship Recycling Facility is capable of establishing, maintaining and monitoring Safe-for-hot work and Safe-for-entry conditions throughout the Ship Recycling process.

1.4 Management of Hazardous Materials

The Ship Recycling Facility is designed, constructed, operated, and required to ensure that all Hazardous Materials' management shall be safe and environmentally sound in compliance with the Convention and with all relevant local or national regulations/requirements.

1.5 Map and location of Ship Recycling operations

A map of the boundary of the Ship Recycling Facility and the location of Ship Recycling operations within it, is attached.

2 CAPABILITY OF SHIP RECYCLING FACILITY

2.1 Size of ships

The Ship Recycling Facility is authorized to accept a ship for recycling subject to the following size limitations:

Maximum Size	Other Limitations
Length	
Breadth	
Lightweight	

2.2 Safe and Environmentally Sound Management of Hazardous Materials

The Ship Recycling Facility is authorized to accept a ship for recycling that contain Hazardous Materials as specified in the following table subject to the conditions noted below:

	Manageme	ent of Hazar		
Hazardous Material(*4)	Removal	Storage	Process (*1)	Authorization/Limitations
	****	Y/N	*	
A -1	Y/N (*2)	Y/JN	Y/N (*3)	
Asbestos				
Ozone-depleting				
substances				
Polychlorinated biphenyls (PCB)				
Anti-fouling compounds and systems				
Cadmium and Cadmium	tu /manage			
Compounds				
Hexavalent Chromium				
and Hexavalent				
Chromium Compounds				
Lead and Lead	The second secon			
Compounds				
Mercury and Mercury				
Compounds				
Polybrominated Biphenyl				
(PBBs)				
Polybrominated Diphenyl			1.00	
Ethers (PBDEs)				
Polychlorinated				
Naphthalenes (more than				
3 chlorine atoms)				
Radioactive substances				
Certain Shortchain				
Chlorinated Paraffins	1			
(Alkanes, C10-C13,				
chloro)				
Hazardous liquids,				
residues and sediments			i i	
Paints and coatings that				
are highly flammable				
and/or lead to toxic	ĺ			*
release				
Other Hazardous				-
Materials not listed above	and the same of th			
and that are not a part of	T			
the ship structure				
(specify)			j	
(Doorth)				

- Notes: *1 Process means the processing of Hazardous Materials in the Ship Recycling Facility, such as:
 - a. incineration of Hazardous Materials;
 - b. reclamation of Hazardous Materials; and
 - c. treatment of oily residues.
 - *2 If Yes (Y), indicate in the Ship Recycling Facility Plan the responsible personnel authorized to carry out the removal, with the certificate number or other relevant information.
 - *3 If No (N), describe in the Ship Recycling Plan where the Hazardous Materials are to be processed/disposed.
 - *4 These Hazardous Materials are specified in Appendices 1 and 2 and regulation 20 of the Convention.

APPENDIX 6

FORM OF REPORT OF PLANNED START OF SHIP RECYCLING

The					
(Name of Ship Recycling Facility)					
located at					
(Full Ship Recycling Facility address)					
Authorized in accordance with the requirements of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (hereinafter referred to as "the Convention") to conduct Ship Recycling under the authority of the Government of:					
(Name of State)					
as indicated in the Document of Authorization to conduct Ship Recycling issued at					
(Place of authorization)					
1					
(Full designation of the Competent Authority under the Convention)					
on (dd/mm/yyyy)					
(Date of issue)					
Hereby reports that the Ship Recycling Facility is ready in every respect to start the recycling of the vessel					
(IMO number)					
The International Ready for Recycling Certificate issued under the provisions of the Convention under the authority of the Government of					
(Name of State)					
by(Full designation of the person or organization authorized under the provisions of the Convention).					
on (dd/mm/yyyy)(Date of issue)					
is enclosed.					
Signed					

APPENDIX 7

FORM OF THE STATEMENT OF COMPLETION OF SHIP RECYCLING

STATEMENT OF COMPLETION OF SHIP RECYCLING

Particulars of the Ship as received j	
Distinctive number or letters	S
Port of Registry	
Gross tonnage	
IMO number	
Name and address of shipov	vner
IMO registered owner identification number	
IMO company identification number	1
Date of Construction	
THIS CONFIRMS THAT:	
The ship has been recycled Hong Kong International Conventionips, 2009 (hereinafter referred to a	in accordance with the Ship Recycling Plan as part of the safe and Environmentally Sound Recycling as "the Convention") at
	n of the authorized Ship Recycling Facility)
	as required by the Convention was completed on:
(dd/mm/yyyy)	
	(Date of completion)
	Å
(Place o	of issue of the Statement of Completion
dd/mm/yyyy)	
(Date of issue)	(Signature of the owner of the Ship Recycling Facility or a representative acting on behalf of the owner)

نسخة صادقة مصدقة من الغاقية هونغ كونغ الدولية لتفكيك السفن والتصريف بمكوناتها بشكل أمن وسليم بيئيًا لعام 2009 التي صدرت في هونغ كونغ في 15 أيار /مايو 2009 ، وأودع تصبها الأصلي لدى الأمين العام للمنظمة البحرية الدولية .

此件系公元2009 年5 月15 日订于香港的《香港国际安全与无害环境拆船公约》的核证无误副本,其原件由国际海事组织秘书长保存。

CERTIFIED TRUE COPY of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, done in Hong Kong on 15 May 2009, the original text of which is deposited with the Secretary-General of the International Maritime Organization.

ЗАВЕРЕННАЯ КОПИЯ Гонконгской международной конвенции о безопасной и экологически рациональной утилизации судов, принятой в Гонконге 15 мая 2009 года, подлинный текст которой сдан на хранение Генеральному секретарю Международной морской организации.

COPIE CERTIFIEE CONFORME de la Convention internationale de Hong Kong pour le recyclage sûr et écologiquement rationnel des navires, faite à Hong Kong le 15 mai 2009, dont le texte original est déposé auprès du Secrétaire général de l'Organisation maritime internationale.

COPIA AUTÉNTICA CERTIFICADA del Convenio internacional de Hong Kong para el reciclaje seguro y ambientalmente racional de los buques, hecho en Hong Kong el 15 de mayo de 2009, cuyo original se ha depositado ante el Secretario General de la Organización Marítima Internacional.

عن الأمين العام للمنظمة البحرية الدولية:

国际海事组织秘书长代表:

For the Secretary-General of the International Maritime Organization: Pour le Secrétaire général de l'Organisation maritime internationale: За Генерального секретаря Международной морской организации: Por el Secretario General de la Organización Marítima Internacional:

R.P.Be

لندن ، في

伦敦, London, Londres, Лондон,

Londres,

18th August, 2009.

J/9994 (A/C/E/P/R/S)

XKI facciali D 5 GEN 2010 | D



المحضر الختامي للمؤتمر الدولي بشأن تفكيك السفن والتصريّف بمكوناتها بشكل آمن وسليم بينيًا لعام 2009	
2009 年安全与无害环境拆船国际大会最后文件	
FINAL ACT OF THE INTERNATIONAL CONFERENCE ON THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009	and the second
ACTE FINAL DE LA CONFÉRENCE INTERNATIONALE SUR LE RECYCLAGE SÛR ET ÉCOLOGIQUEMENT RATIONNEL DES NAVIRES, 2009	Г
ЗАКЛЮЧИТЕЛЬНЫЙ АКТ МЕЖДУНАРОДНОЙ КОНФЕРЕНЦИИ ПО БЕЗОПАСНОЙ И ЭКОЛОГИЧЕСКИ РАЦИОНАЛЬНОЙ УТИЛИЗАЦИИ СУДОВ 2009 ГОДА	

ACTA FINAL DE LA CONFERENCIA INTERNACIONAL SOBRE ELÆCICLAJE SEGURO Y AMBIENTALMENTE RACIONAL DE LOS BUQUES, 2009

FINAL ACT OF THE INTERNATIONAL CONFERENCE ON THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS

- In accordance with Article 2(b) of the Convention on the International Maritime Organization, the Council of the Organization, at its ninety-seventh session in November 2006, approved the request of the Marine Environment Protection Committee of the Organization at its fifty-fifth session in October 2006, that a diplomatic Conference be convened to consider the adoption of an International Convention for the Safe and Environmentally Sound Recycling of Ships. This decision was endorsed by the Assembly of the Organization at its twenty-fifth regular session by resolution A.991(25) of 29 November 2007 on the Programme Budget for the Twenty-Fifth Financial Period 2008-2009.
- The Conference was held at the Hong Kong Convention and Exhibition Centre, Hong Kong, China, from 11 to 15 May 2009.
- Representatives of 63 States participated in the Conference, namely the representatives of:

ANGOLA KENYA ANTIGUA AND BARBUDA LIBERIA **ARGENTINA** LUXEMBOURG **AUSTRALIA** MALAYSIA BAHAMAS MALTA BANGLADESH MARSHALL ISLANDS BELGIUM **MEXICO** BRAZIL **MYANMAR** BULGARIA **NETHERLANDS** CAMEROON **NIGERIA** CANADA NORWAY CHILE **PAKISTAN** CHINA **PANAMA** CONGO PHILIPPINES COOK ISLANDS POLAND **CYPRUS PORTUGAL** DEMOCRATIC PEOPLE'S **QATAR** REPUBLIC OF KOREA REPUBLIC OF KOREA DENMARK RUSSIAN FEDERATION **EGYPT** SAUDI ARABIA **ESTONIA** SENEGAL FINLAND SINGAPORE FRANCE SOUTH AFRICA **GERMANY** SPAIN **GHANA SWEDEN** GREECE SWITZERLAND INDIA THAILAND

IRAN (ISLAMIC REPUBLIC OF)

IRELAND ITALY JAPAN

INDONESIA

UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND UNITED STATES OF AMERICA

VANUATU

TURKEY

4 The following Associate Members of the Organization sent observers to the Conference:

HONG KONG, CHINA MACAO, CHINA

The Conference was also attended by representatives from the following United Nations Programme and specialized agency:

UN ENVIRONMENT PROGRAMME (UNEP)
INTERNATIONAL LABOUR ORGANIZATION (ILO)

6 The following intergovernmental organization sent observers to the Conference:

EUROPEAN COMMISSION (EC)

7 The following non-governmental organizations sent observers to the Conference:

INTERNATIONAL CHAMBER OF SHIPPING (ICS)

BIMCO

INTERNATIONAL ASSOCIATION OF CLASSIFICATION SOCIETIES (IACS)

OIL COMPANIES INTERNATIONAL MARINE FORUM (OCIMF)

FRIENDS OF THE EARTH INTERNATIONAL (FOEI)

INTERNATIONAL ASSOCIATION OF INDEPENDENT TANKER OWNERS (INTERTANKO)

GREENPEACE INTERNATIONAL

INTERNATIONAL PARCEL TANKERS ASSOCIATION (IPTA)

- 8 The Conference was opened by Mr. E.E. Mitropoulos, Secretary-General of the International Maritime Organization.
- 9 Mr. Donald Tsang, Chief Executive of the Hong Kong Special Administrative Region of the People's Republic of China, delivered a welcome address at the opening session of the Conference.
- 10 Mr. Xu Zuyuan, Head of the Delegation of China, was elected President of the Conference.
- 11 The Vice-Presidents elected by the Conference were:

Mr. Binyah Kesselly (Liberia) Ms Liliana Fernández Puentes (Panama) Captain Suat Hayri Aka (Turkey)

12 The Secretariat of the Conference consisted of the following officers:

Secretary-General:

Mr. E.E. Mitropoulos

Secretary-General of the Organization

Executive Secretary:

Mr. M. Palomares

Director, Marine Environment Division

Deputy Executive Secretaries:

Mr. Du Dachang Senior Deputy Director Marine Environment Division

Mr. N. Mikelis

Senior Implementation Officer Marine Environment Division

- 13 The Conference established a Committee of the Whole with the mandate to consider the draft International Convention for the Safe and Environmentally Sound Recycling of Ships and the draft Conference resolutions.
- The Conference also established a Drafting Committee with the mandate to finalize the texts of the Final Act of the Conference, the International Convention for the Safe and Environmentally Sound Recycling of Ships and the Conference resolutions. The Drafting Committee was composed of representatives of the following States:

CHILE
CHINA
FRANCE
INDONESIA
RUSSIAN FEDERATION
SAUDI ARABIA
SPAIN
UNITED KINGDOM OF
GREAT BRITAIN AND NORTHERN IRELAND
UNITED STATES OF AMERICA

15 A Credentials Committee was appointed to examine credentials of representatives attending the Conference. The Credentials Committee was composed of representatives of the following States:

ANGOLA
AUSTRALIA
BAHAMAS
BANGLADESH
IRAN (ISLAMIC REPUBLIC OF)

16 The officers elected for the Committees were as follows:

Committee of the Whole:

Chairman:

Mr. Andreas Chrysostomou (Cyprus)

Vice-Chairmen:

Mr. Shinichiro Otsubo (Japan) Mr. Sveinung Oftedal (Norway)

Drafting Committee:

Chairman:

Mr. Charles Darr (United States)

Vice-Chairman:

Mrs. Claude Wohrer (France)

Credentials Committee:

Chairman:

Mr. Md. Masud Elahi (Bangladesh)

- 17 The Conference used as the basis of its work the draft text of the International Convention for the Safe and Environmentally Sound Recycling of Ships prepared by the Marine Environment Protection Committee of the Organization.
- 18 Also before the Conference were a number of documents containing proposals and comments submitted by Governments and interested organizations on the above-mentioned draft text.
- As a result of its deliberations the Conference adopted the following instrument:

HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009

- 20 The Conference also adopted the following resolutions, which are contained in the Attachment to this Final Act:
 - Resolution 1: Expression of appreciation to the host Government
 - Resolution 2: Contribution of the Parties to the Basel Convention and the International Labour Organization in the development of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009
 - Resolution 3: Promotion of technical co-operation and assistance
 - Resolution 4: Future work by the Organization pertaining to the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009
 - Resolution 5: Early implementation of the technical standards of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009
 - Resolution 6: Exploration and monitoring of the best practices for fulfilling the requirements of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009
- This Final Act is established in a single original text in the Arabic, Chinese, English, French, Russian and Spanish languages, which is to be deposited with the Secretary-General of the Organization.

The Secretary-General shall send certified copies of this Final Act with its Attachment, and certified copies of the authentic text of the instrument referred to in paragraph 19 above, to the Governments of the States invited to be represented at the Conference.

DONE IN HONG KONG, CHINA, this fifteenth day of May, two thousand and nine.

IN WITNESS WHEREOF the undersigned have affixed their signatures to this Final Act.

الأمين العام للمنظمة البحرية الدولية

国际海事组织秘书长

SECRETARY-GENERAL OF THE INTERNATIONAL MARITIME ORGANIZATION SECRÉTAIRE GÉNÉRAL DE L'ORGANISATION MARITIME INTERNATIONALE ГЕНЕРАЛЬНЫЙ СЕКРЕТАРЬ МЕЖДУНАРОДНОЙ МОРСКОЙ ОРГАНИЗАЦИИ SECRETARIO GENERAL DE LA ORGANIZACIÓN MARÍTIMA INTERNACIONAL



会议执行秘书

EXECUTIVE SECRETARY OF THE CONFERENCE

UCHOJHUTEJЬНЫЙ СЕКРЕТАРЬ КОНФЕРЕНЦИИ

SECRÉTAIRE EXÉCUTIF DE LA CONFÉRENCE

SECRETARIO EJECUTIVO DE LA CONFERENCIA

نائب الأمين التنفيذي للمؤتمر

会议副执行秘书

DEPUTY EXECUTIVE SECRETARY OF THE CONFERENCE

SECRETAIRE EXECUTIF ADJOINT DE LA CONFÉRENCE

3AMECTUTEЛЬ ИСПОЛНИТЕЛЬНОГО СЕКРЕТАРЯ КОНФЕРЕНЦИИ

SECRETARIO EJECUTIVO AQUINTO DE LA CONFERENÇIA

نائب الأمين التنفيذي للمؤتمر

会议副执行秘书

DEPUTY EXECUTIVE SECRETARY OF THE CONFERENCE
SECRÉTAIRE EXÉCUTIF ADJOINT DE LA CONFÉRENCE

BAMECTUTEЛЬ ИСПОЛНИТЕЛЬНОГО СЕКРЕТАРЯ КОНФЕРЕНЦИИ
SECRETARIO EJECUTIVO ADJUNTO DE LA CONFERENCIA

الرئيس

主席

PRESIDENT

PRÉSIDENT

ПРЕДСЕДАТЕЛЬ

PRESIDENTE

副主席

نائب الرئيس

VICE-PRESIDENT

VICE-PRÉSIDENT

заместитель председателя

VICEPRESIDENTE

副主席

VICE-PRESIDENT

VICE-PRÉSIDENT

ЗАМЕСТИТЕЛЬ ПРЕДСЕДАТЕЛЯ

VICEPRESIDENTE

副主席

VICE-PRESIDENT

VICE-PRÉSIDENT

ЗАМЕСТИТЕЛЬ ПРЕДСЕДАТЕЛЯ

VICEPRESIDENTE

CH Cerro

عن انغولا:

代表 安哥拉:

FOR ANGOLA:

POUR L'ANGOLA:

ЗА АНГОЛУ:

POR ANGOLA:

عن أنتيغوا وبربودا:

代表 安提瓜和巴布达:

FOR ANTIGUA AND BARBUDA:

POUR ANTIGUA-ET-BARBUDA:

ЗА АНТИГУА И БАРБУДУ:

POR ANTIGUA Y BARBUDA:

عن الأرجنتين:

代表 阿根廷:

FOR ARGENTINA:

POUR L'ARGENTINE

ЗА АРГЕНТИНУ: 🎖

POR ARGENTINA:

Alaston

XVII LEGISLATURA – DISEGNI DI LEGGE E RELAZIONI - DOCUMENTI

عن أستراليا:

代表 澳大利亚:

FOR AUSTRALIA:

POUR L'AUSTRALIE:

ЗА АВСТРАЛИЮ:

POR AUSTRALIA:

عن جزر البهاما:

代表 巴哈马:

FOR THE BAHAMAS:

POUR LES BAHAMAS:

ЗА БАГАМСКИЕ ОСТРОВА:

POR LAS BAHAMAS:

عن بنغلاديش:

代表 孟加拉国:

FOR BANGLADESH:

POUR LE BANGLADESH :

ЗА БАНГЛАДЕШ:

POR BANGLADESH:

عن بلجيكا ;

代表 比利时:

FOR BELGIUM:

POUR LA BELGIQUE:

за бельгию:

POR BÉLGICA:

Teta Clayou

Janua Sbetury.

عن البرازيل:

代表 巴西:

FOR BRAZIL:

POUR LE BRÉSIL :

ЗА БРАЗИЛИЮ:

POR BRASIL:

عن بلغاريا:

代表 保加利亚:

FOR BULGARIA:

Mund POUR LA BULGARIE:

ЗА БОЛГАРИЮ:

POR BULGARIA:

عن الكاميرون:

代表 喀麦隆:

FOR CAMEROON:

POUR LE CAMEROUN:

ЗА КАМЕРУН:

POR CAMERÚN:

عن كندا :

代表 加拿大:

FOR CANADA:

POUR LE CANADA:

ЗА КАНАДУ:

POR CANADÁ:

(Azin

عن شيلي :

代表 智利:

FOR CHILE:

POUR LE CHILI:

за чили:

POR CHILE:

عن الصبين:

代表 中国:

FOR CHINA:

POUR LA CHINE:

ЗА КИТАЙ:

POR CHINA:

Whoras -

عن الكونغو:

代表 刚果:

FOR CONGO:

POUR LE CONGO:

ЗА КОНГО:

POR EL CONGO:

ANA

عن جزر كوك:

代表 库克群岛:

FOR THE COOK ISLANDS:

POUR LES ÎLES COOK:

ЗА ОСТРОВА КУКА:

POR LAS ISLAS COOK:

عن قبرص:

代表 塞浦路斯:

FOR CYPRUS:

POUR CHYPRE:

ЗА КИПР:

POR CHIPRE:

Ausostomia Association and Ass

عن جمهورية كوريا الشعبية الديمقراطية:

代表 朝鲜民主主义人民共和国:

FOR THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA:

POUR LA RÉPUBLIQUE POPULAIRE DÉMOCRATIQUE DE CORÉE:

3A КОРЕЙСКУЮ НАРОДНО-ДЕМОКРАТИЧЕСКУЮ РЕСПУБЛИКУ:

POR LA REPÚBLICA POPULAR DEMOCRÁTICA DE COREA:

加差级

عن الدانمرك:

代表 丹麦:

FOR DENMARK:

POUR LE DANEMARK:

за данию:

POR DINAMARCA:

Ause Mani Ras m Jacob Haland,
Como Sol
Mand Stellaris.

عن مصر :

代表 埃及:

FOR EGYPT:

POUR L'ÉGYPTE :

ЗА ЕГИПЕТ:

POR EGIPTO:

عن استونيا:

代表 爱沙尼亚:

FOR ESTONIA:

POUR L'ESTONIE:

за эстонию:

POR ESTONIA:

عن فنلندا:

代表 芬兰:

FOR FINLAND:

POUR LA FINLANDE:

за Финляндию:

POR FINLANDIA:

To dan

Budu.

عن فرنسا:

代表 法国:

FOR FRANCE:

POUR LA FRANCE:

ЗА ФРАНЦИЮ:

POR FRANCIA:

عن ألمانيا:

代表 德国:

FOR GERMANY:

POUR L'ALLEMAGNE:

ЗА ГЕРМАНИЮ:

POR ALEMANIA:

Horst Cock

عن غاتا :

代表 加纳:

FOR GHANA:

POUR LE GHANA:

ЗА ГАНУ:

POR GHANA:

عن أليونان:

代表 希腊:

FOR GREECE:

POUR LA GRÈCE:

за грецию:

POR GRECIA:

Rose Jeremie, Ambassador

عن الهند:

代表 印度:

FOR INDIA:

POUR L'INDE:

за индию:

POR LA INDIA:

عن ايران (جمهورية - اسلامية):

代表 伊朗伊斯兰共和国:

FOR ISLAMIC REPUBLIC OF IRAN:

POUR LA RÉPUBLIQUE ISLAMIQUE D'IRAN:

ЗА ИСЛАМСКУЮ РЕСПУБЛИКУ ИРАН:

POR LA REPÚBLICA ISLÁMICA DEL IRÁN:

عرن العراق

代表 伊拉克,

FOR IRAQ:

POURLIRAO: DE

BA WPAK.

POR IRAQ:

DiSgee. R. Habis

A

Nami

عن اندونيسيا:

代表 印度尼西亚:

FOR INDONESIA:

POUR L'INDONÉSIE:

за индонезию:

POR INDONESIA:

عن آيرلندا:

代表 爱尔兰:

FOR IRELAND:

POUR L'IRLANDE:

ЗА ИРЛАНДИЮ:

POR IRLANDA:

عن إيطاليا ;

代表 意大利:

FOR ITALY: K

POUR L'ITALIE : ЗА ИТАЛИЮ:

POR ITALIA:

عن اليابان :

代表 日本:

FOR JAPAN:

POUR LE JAPON:

за японию:

POR JAPÓN:

四藤光一

عن كينيا :

代表 肯尼亚:

FOR KENYA:

POUR LE KENYA:

за кению:

POR KENYA:

MarEtter

عن ليبريا:

代表 利比里亚;

FOR LIBERIA:

POUR LE LIBÉRIA :

за либерию:

POR LIBERIA:

عن لكسمبرغ:

代表 卢森堡:

FOR LUXEMBOURG:

POUR LE LUXEMBOURG:

ЗА ЛЮКСЕМБУРГ:

POR LUXEMBURGO:

عن ماليزيا:

代表 马来西亚:

FOR MALAYSIA:

POUR LA MALAISIE:

ЗА МАЛАЙЗИЮ:

POR MALASIA:

عن مالطة :

代表 马耳他:

FOR MALTA:

POUR MALTE:

ЗА МАЛЬТУ:

POR MALTA:

Myruce. Den Akuda Birs

XVII LEGISLATURA – DISEGNI DI LEGGE E RELAZIONI - DOCUMENTI

عن جزر مارشال:

代表 马绍尔群岛:

FOR MARSHALL ISLANDS:

POUR LES ÎLES MARSHALL:

за маршалловы острова:

POR LAS ISLAS MARSHALL:

عن المكسيك :

代表 墨西哥:

FOR MEXICO:

POUR LE MEXIQUE:

ЗА МЕКСИКУ:

POR MÉXICO:

4

عن ميانمار:

代表 缅甸:

FOR MYANMAR:

POUR LE MYANMAR:

ЗА МЪЯНМУ:

POR MYANMAR:

عن هولندا:

代表 荷兰:

FOR THE NETHERLANDS:

POUR LES PAYS-BAS;

ЗА НИДЕРЛАНДЫ:

POR LOS PAÍSES BAJOS:

abolulayde Menle Herphan

عن نيجيريا:

代表 尼日利亚:

FOR NIGERIA:

POUR LE NIGÉRIA:

ЗА НИГЕРИЮ:

POR NIGERIA:

عن النرويج:

代表 挪威:

FOR NORWAY:

POUR LA NORVÈGE :

за норвегию:

POR NORUEGA:

L

عن باکستان:

代表 巴基斯坦:

FOR PAKISTAN:

POUR LE PAKISTAN:

ЗА ПАКИСТАН:

POR PAKISTÁN:

عن بنما:

代表 巴拿马:

FOR PANAMA:

POUR LE PANAMA:

ЗА ПАНАМУ:

POR PANAMÁ:

M.J.Juner

XVII LEGISLATURA – DISEGNI DI LEGGE E RELAZIONI - DOCUMENTI

عن الفلبين:

代表 菲律宾:

FOR THE PHILIPPINES:

POUR LES PHILIPPINES:

за филиппины:

POR FILIPINAS:

عن بولندا:

代表 波兰:

FOR POLAND:

POUR LA POLOGNE:

за польшу:

POR POLONIA:

Dunia Wygny de Namistho Wojuech Addunak

عن البرتغال:

代表 葡萄牙:

FOR PORTUGAL:

POUR LE PORTUGAL :

ЗА ПОРТУГАЛИЮ:

POR PORTUGAL:

Peul Cerul

عن قطر :

代表 卡塔尔:

FOR QATAR:

POUR LE OATAR

3A KATAP:

POR QATAR:

عن جمهورية كوريا:

代表 大韩民国:

FOR THE REPUBLIC OF KOREA:

POUR LA RÉPUBLIQUE DE CORÉE :

ЗА РЕСПУБЛИКУ КОРЕЯ:

POR LA REPÚBLICA DE COREA:

0/1/8

Appul

XVII LEGISLATURA – DISEGNI DI LEGGE E RELAZIONI - DOCUMENTI

عن الاتحاد الروسى:

代表 俄罗斯联邦:

FOR RUSSIAN FEDERATION:

POUR LA FÉDÉRATION DE RUSSIE :

ЗА РОССИЙСКУЮ ФЕДЕРАЦИЮ:

POR LA FEDERACIÓN DE RUSIA:

عن المملكة العربية السعودية:

代表 沙特阿拉伯:

FOR SAUDI ARABIA:

POUR L'ARABIE SAOUDITE:

за саудовскую аравию:

POR ARABIA SAUDITA:

عن السنغال:

代表 塞内加尔:

FOR SENEGAL:

POUR LE SÉNÉGAL :

за сенегал:

POR SENEGAL:

عن سلغاڤورة:

代表 新加坡:

FOR SINGAPORE:

POUR SINGAPOUR:

ЗА СИНГАПУР:

POR SINGAPUR:

LIM YEW GMAN

XVII LEGISLATURA – DISEGNI DI LEGGE E RELAZIONI - DOCUMENTI

عن جنوب أقريقيا:

代表 南非:

FOR SOUTH AFRICA:

POUR L'AFRIQUE DU SUD :

ЗА ЮЖНУЮ АФРИКУ:

POR SUDÁFRICA:

عن إسبانيا:

代表 西班牙:

FOR SPAIN:

POUR L'ESPAGNE :

за испанию;

POR ESPAÑA:

عن السويد:

代表 瑞典:

FOR SWEDEN:

POUR LA SUÈDE:

за швецию:

POR SUECIA:

Maria Jelin

عن سويسرا:

代表 瑞士:

FOR SWITZERLAND:

POUR LA SUISSE:

за швейцарию:

POR SUIZA:

1 72 t

عن تايلند:

代表 泰国:

FOR THAILAND: JAVE: WELKINGOROW

POUR LA THAÎLANDE: Ch. Settinal

за таиланд:

POR TAILANDIA:

عن تركيا:

代表 土耳其:

FOR TURKEY:

POUR LA TURQUIE:

за турцию:

POR TURQUÍA:

عن المملكة المتحدة لبريطانيا العظمى وشمال ايرلندا:

Shon bohb.

代表 大不列颠及北爱尔兰联合王国:

FOR THE UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND:
POUR LE ROYAUME-UNI DE GRANDE-BRETAGNE ET D'IRLANDE DU NORD:

3A СОЕДИНЕННОЕ КОРОЛЕВСТВО ВЕЛИКОБРИТАНИИ И СЕВЕРНОЙ ИРЛАНДИИ:
POR EL REINO UNIDO DE GRAN BRETAÑA E IRLANDA DEL NORTE:

عن فانواتو:

代表 瓦努阿图:

FOR VANUATU:

POUR VANUATU:

ЗА ВАНУАТУ:

POR VANUATU:

عن الولايات المتحدة الأمريكية:

代表 美利坚合众国:

FOR THE UNITED STATES OF AMERICA:

POUR LES ÉTATS-UNIS D'AMÉRIQUE:

ЗА СОЕДИНЕННЫЕ ШТАТЫ АМЕРИКИ:

POR LOS ESTADOS UNIDOS DE AMÉRICA:

Hugory J. O'Brien Lal Hall

Mkl V On the

ATTACHMENT

RESOLUTION 1

EXPRESSION OF APPRECIATION TO THE HOST GOVERNMENT

THE CONFERENCE,

NOTING with appreciation the kind invitation of the Government of the People's Republic of China to the International Maritime Organization to hold the International Conference on the Safe and Environmentally Sound Recycling of Ships, in Hong Kong, China,

ACKNOWLEDGING the generous financial and in-kind contribution and excellent arrangements made by the Government of the Hong Kong Special Administrative Region of the People's Republic of China for the Conference, as well as the hospitality, courtesies and other amenities bestowed on the Participants to the Conference,

- 1. EXPRESSES its profound gratitude and thanks to the Government and the People of the Hong Kong Special Administrative Region of the People's Republic of China for their valuable contribution to the success of the Conference;
- 2. DECIDES, in grateful recognition of this contribution, to designate the Convention adopted by the Conference as the:

HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009.

RESOLUTION 2

CONTRIBUTION OF THE PARTIES TO THE BASEL CONVENTION AND THE INTERNATIONAL LABOUR ORGANIZATION IN THE DEVELOPMENT OF THE HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009

THE CONFERENCE,

HAVING ADOPTED the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (the Convention),

BEING AWARE of the active and constructive participation and contribution of representatives of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal and the International Labour Organization and their Secretariats at all stages of the development of the Convention,

RECOGNIZING the role and competencies of the Basel Convention and expertise of its representatives on matters relating to the transboundary movement and the environmentally sound management of waste,

RECOGNIZING ALSO, the role, competencies and expertise of the International Labour Organization on matters relating to occupational safety and health of workers,

- 1. EXPRESSES its appreciation for the contribution made by the Basel Convention and the International Labour Organization during the development of the Convention;
- 2. INVITES the International Maritime Organization to continue co-operation on ship recycling with the Basel Convention and the International Labour Organization following the adoption of the Convention.

RESOLUTION 3

PROMOTION OF TECHNICAL CO-OPERATION AND ASSISTANCE

THE CONFERENCE,

HAVING ADOPTED the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (the Convention),

BEING AWARE that Parties to the Convention will be called upon to give full and complete effect to its provisions, in order to effectively address the environmental, occupational health and safety risks related to ship recycling, taking into account the particular characteristics of maritime transport and the need to secure the smooth withdrawal of ships that have reached the end of their operating lives,

NOTING that article 13 of the Convention requires Parties, *inter alia*, to undertake, as appropriate, to provide support to those Parties that request technical assistance in respect of the safe and environmentally sound recycling of ships,

BEING CONVINCED that the promotion of technical co-operation will expedite the acceptance, uniform implementation and enforcement of the Convention by States,

NOTING WITH APPRECIATION that, through the adoption of resolution A.901(21), the Assembly of the International Maritime Organization (IMO):

- (a) affirmed that IMO's work in developing global maritime standards and in providing technical co-operation for their effective implementation and enforcement can and does contribute to sustainable development; and
- (b) decided that IMO's mission statement, in relation to technical co-operation in the 2000s, is to help developing countries, least developed countries (LDCs) and Small Island Developing States (SIDSs), improve their ability to comply with international rules and standards relating to maritime safety and the prevention and control of marine pollution, giving priority to technical assistance co-operation that focus on human resource development, particularly through training and institutional capacity building;
- 1. REQUESTS Member States, in co-operation with IMO, other interested States and international bodies, competent international or regional organizations and industry programmes, to promote and provide directly, or through IMO, support to States that request technical assistance for:
 - .1 the assessment of the implications of ratifying, accepting, approving, or acceding to, as well as implementing and enforcing the Convention;
 - .2 the development of national legislation and institutional arrangements to give effect to the Convention:

- .3 the introduction of safe and environmentally sound management for the collection, handling, treatment and disposal of Hazardous Materials and wastes removed from the ship during its recycling, including the promotion of private sector and non-governmental organizations with an interest towards the preparation of ships before they are recycled;
- the introduction of other measures, including the training of personnel, for the effective implementation and enforcement of the Convention; and
- .5 the co-operation between shipbuilding countries and existing or future ship recycling countries for the purposes of research leading towards the effective implementation of the Convention;
- 2. REQUESTS FURTHER international development agencies and organizations to support, including through the provision of necessary resources, technical co-operation programmes in the field of safe and environmentally sound recycling of ships, consistent with the Convention;
- 3. INVITES the Technical Co-operation Committee of IMO to continue providing for capacity-building activities on the safe and environmentally sound recycling of ships within the Organization's Integrated Technical Co-operation Programme, in order to support the effective implementation and enforcement of the Convention by developing countries, least developed countries (LDCs) and Small Island Developing States (SIDSs);
- 4. URGES all States to initiate action in connection with the above-mentioned technical co-operation measures without awaiting the entry into force of the Convention.

RESOLUTION 4

FUTURE WORK BY THE ORGANIZATION PERTAINING TO THE HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009

THE CONFERENCE,

HAVING ADOPTED the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (the Convention),

NOTING that articles 8 and 13, regulations 5, 9, 10, 11, 14, 15, 16, 17, 18, 19, 20, and 22, and Appendices 3 and 4 of the Convention refer to the guidelines developed by the Organization,

RECOGNIZING the need for the development of these guidelines in order to ensure global, uniform and effective implementation and enforcement of the relevant requirements of the Convention,

INVITES the Organization to develop as a matter of urgency:

- .1 guidelines for the development of the Inventory of Hazardous Materials;
- .2 guidelines for survey and certification;
- .3 guidelines for inspection of ships;
- .4 guidelines for the authorization of Ship Recycling Facilities;
- .5 guidelines for safe and environmentally sound ship recycling;
- .6 guidelines for the development of the Ship Recycling Plan; and
- .7 other guidelines or circulars as may be identified by the Marine Environment Protection Committee of the Organization,

and adopt them, as soon as practicable, and in any case before the entry into force of the Convention, with a view to facilitating global and uniform implementation of the Convention.

RESOLUTION 5

EARLY IMPLEMENTATION OF THE TECHNICAL STANDARDS OF THE HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009

THE CONFERENCE,

HAVING ADOPTED the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (the Convention),

NOTING that Article 17 of the Convention provides that the Convention shall enter into force 24 months after the date on which not less than 15 States, the combined merchant fleets of which constitute not less than 40 per cent of the gross tonnage of the world's merchant shipping, and the combined maximum annual ship recycling volume constitutes not less than 3 per cent of the gross tonnage of the combined merchant shipping of the same States, have either signed it without reservation as to ratification, acceptance or approval, or have deposited the requisite instrument of ratification, acceptance, approval or accession in accordance with Article 16,

RECOGNIZING the benefits to be derived from the early application of the technical standards contained in the Annex to the Convention and in the associated guidelines in respect of the environment and the occupational health and safety aspects associated with ship recycling.

- 1. INVITES Member States of the Organization to consider applying the technical standards contained in the Annex to the Convention on a voluntary basis to ships entitled to fly their flag, as soon as operationally feasible;
- 2. INVITES ALSO Member States of the Organization to consider applying the technical standards contained in the Annex to the Convention on a voluntary basis to ship recycling facilities under their jurisdiction, as soon as operationally feasible;
- 3. INVITES FURTHER Member States of the Organization, which take action in accordance with this resolution, to communicate details of such action to the Organization;
- 4. INVITES the industry to co-operate with Member States of the Organization in applying the technical standards contained in the Annex to the Convention to ships and ship recycling facilities, as appropriate.

RESOLUTION 6

EXPLORATION AND MONITORING OF THE BEST PRACTICES FOR FULFILLING THE REQUIREMENTS OF THE HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009

THE CONFERENCE,

HAVING ADOPTED the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009, (the Convention),

NOTING that regulation 15.3 provides that each Party shall establish a mechanism for ensuring that Ship Recycling Facilities comply with the requirements of the Convention and that such mechanism may include an audit scheme to be carried out by the Competent Authority(ies) or an organization recognized by the Party,

RECOGNIZING the benefits and importance of a level playing field for shipowners as well as ship recycling facilities by achieving global and uniform authorization of recycling facilities.

INVITES the Marine Environment Protection Committee to explore possible ways forward to ensure best practices for complying with regulation 15.3 and for monitoring the way the Convention is functioning.

نسخة صادقة مصدّقة من المحضر الختامي للمؤتمر الدولي بشأن تقكيك السفن والتصرّف بمكوناتها بشكل آمن وسليم بيئياً لعام 2009 ، مشفوعًا بالضميمة (القرارات من 1 إلى 6) ، الذي صدر في هونغ كونغ في 15 أبار/مايو 2009 ، وأودع نصه الأصلي لدى الأمين العام للمنظمة البحرية الدولية .

此件系公元2009 年5 月15 日订于香港的安全与无害环境拆船国际大会最后文件及其 附件(第一至六号决议)的核证无误副本,其原件由国际海事组织秘书长保存。

CERTIFIED TRUE COPY of the Final Act, with attachment (resolutions 1 to 6) of the International Conference on the Safe and Environmentally Sound Recycling of Ships, 2009, done in Hong Kong on 15 May 2009, the original text of which is deposited with the Secretary-General of the International Maritime Organization.

COPIE CERTIFIÉE CONFORME de l'Acte final, avec pièces jointes (résolutions 1 à 6) de la Conférence internationale sur le recyclage sûr et écologiquement rationnel des navires, 2009, fait à Hong Kong le 15 mai 2009, dont le texte original est déposé auprès du Secrétaire général de l'Organisation maritime internationale.

ЗАВЕРЕННАЯ КОПИЯ Заключительного акта, с приложением (резолюции 1–6), Международной конференции по безопасной и экологически рациональной утилизации судов 2009 года, принятого в Гонконге 15 мая 2009 года, подлинный текст которого сдан на хранение Генеральному секретарю Международной морской организации.

COPIA AUTÉNTICA CERTIFICADA del Acta final, con el Documento adjunto (resoluciones 1 a 6), de la Conferencia internacional sobre el reciclaje seguro y ambientalmente racional de los buques, hecha en Hong Kong el 15 de mayo de 2009, cuyo original se ha depositado ante el Secretario General de la Organización Marítima Internacional.

18th August, 2009.

عن الأمين العام للمنظمة البحرية الدولية :

国际海事组织秘书长代表:

For the Secretary-General of the International Maritime Organization: Pour le Secrétaire général de l'Organisation maritime internationale: За Генерального секретаря Международной морской организации: Por el Secretario General de la Organización Marítima Internacional:

R. P. Box

لندن ، في

伦敦, London, Londres, le Лондон, Londres.

J/9995 (A/C/E/F/R/S)

X79 facciati (Ill Barelium

DEGLI

TY GEN. 2010

€ 7,60